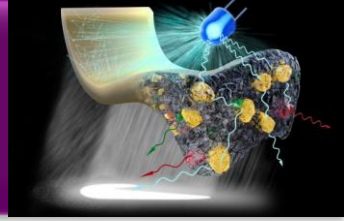


Work package C: Homogenization and diffusion

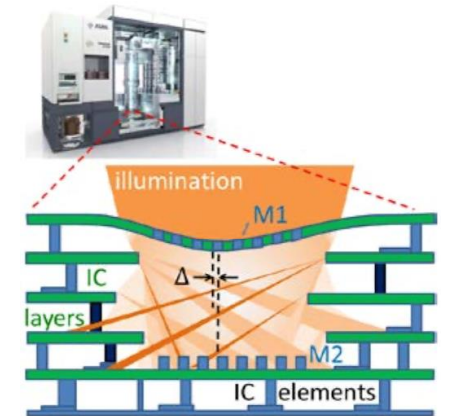
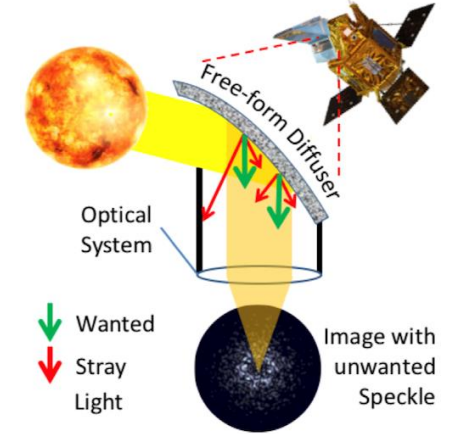
**Martijn Anthonissen, Vî Kronberg, Sudhir Saini,
Alfredo Rates, Robert van Gestel**

Eindhoven University of Technology, University of Twente

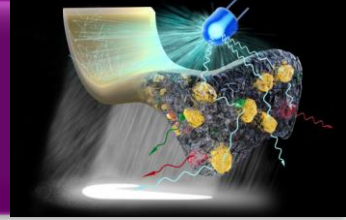
Homogenization and diffusion



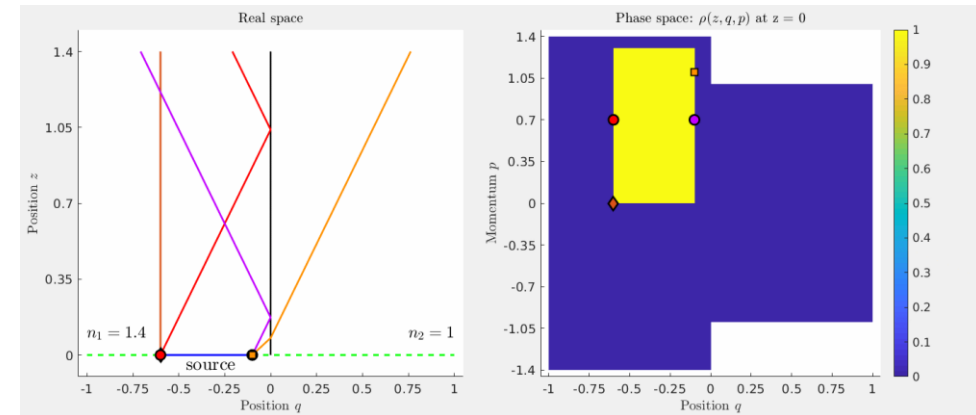
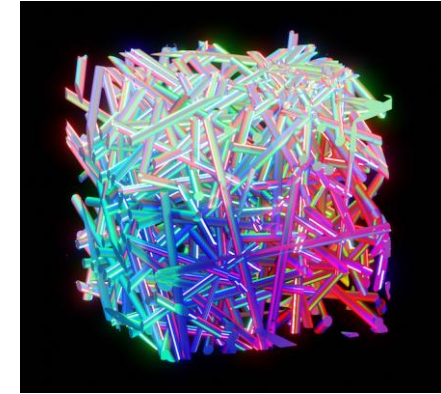
- Many sensors detect differences in light intensity
- Homogeneous light intensity needed
Can be obtained by:
 - Using a freeform optical system
 - Diffusing light in nanophotonic medium
- Combination gives more freedom to designers
- Goal of WP-C
Understand how the combination works



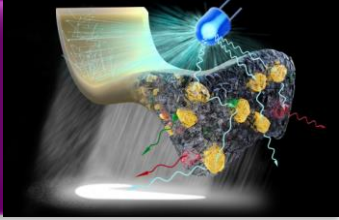
Freeform Scattering Optics



- Combine light scattering with freeform optics
- Different technologies:
 - *Scattering*: microscale
 - *Freeform optics*: macroscale
- Multidisciplinary team:
 - *Physics*: control light and optical processes in nanophotonic structures
 - *Mathematics*: mathematical description of optical systems, numerical simulation



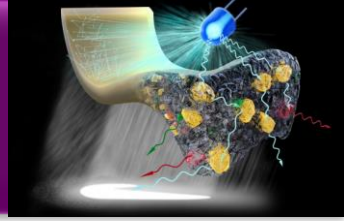
WP-C: Homogenization and diffusion



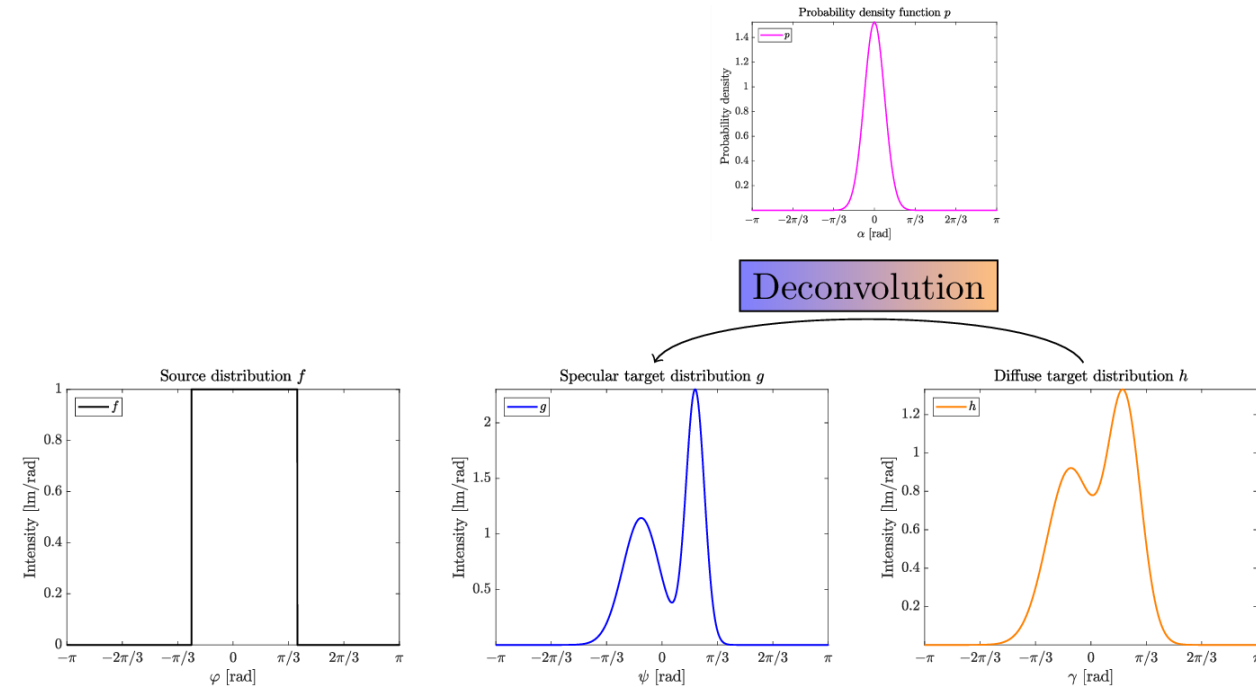
- C1
Freeform optics with scattering
Viktor Kronberg, TU/e
- C2
Scattering with freeforms
Sudhir Saini, UT
Alfredo Rates, UT
- C3
Homogeneous illumination
Robert van Gestel, TU/e



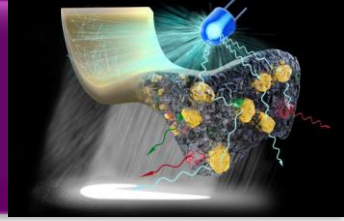
C1 Freeform optics with scattering



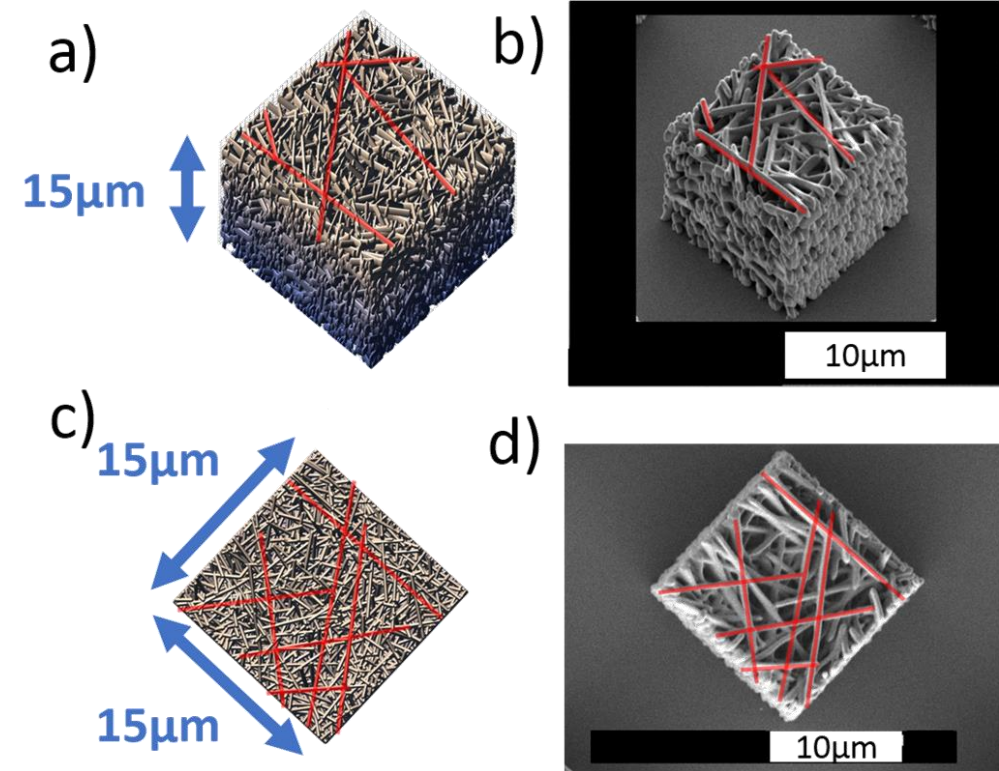
- Goal: include diffuse reflection in inverse problem of optical design
- Idea:
 - Diffuse target distribution given
 - Subtract effect of scattering (maths: deconvolution)
 - Solve freeform problem without scattering (WP-B)
- Large curvatures, little scattering



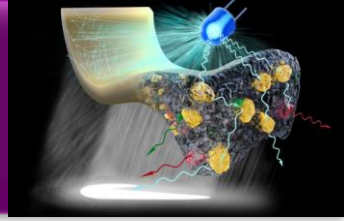
C2 Scattering with freeforms



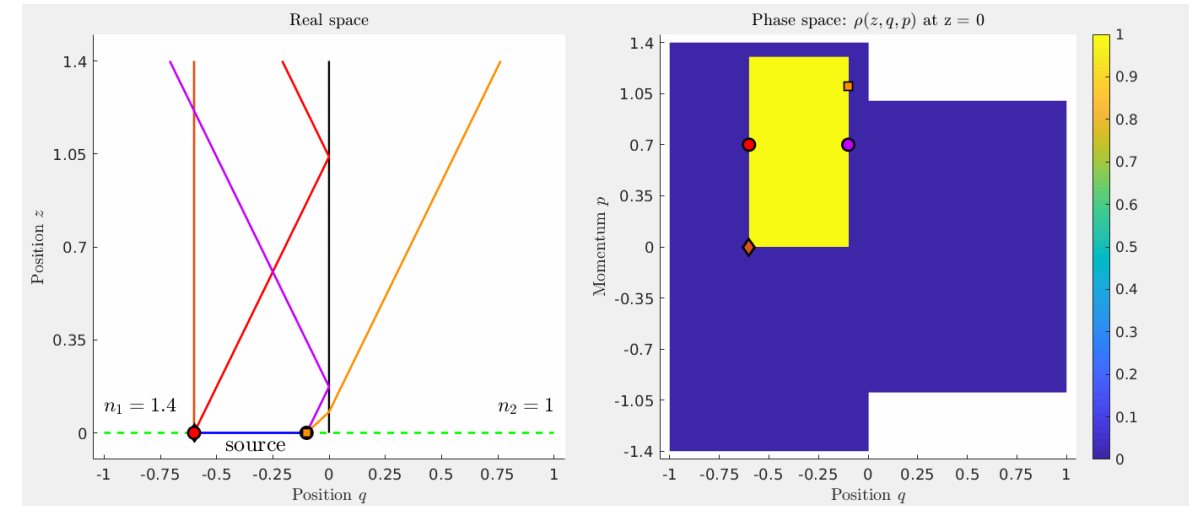
- Start from scattering and add freeform effects
- First simple slab (1D)
- Extend to more complicated geometry
- Small curvatures, much scattering



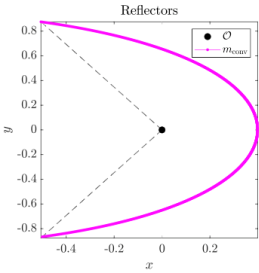
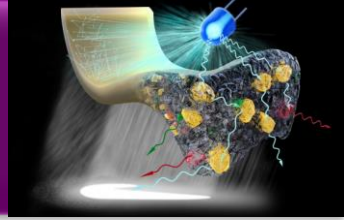
C3 Homogeneous illumination



- Scattering elements can be used for homogeneous illumination but cause light loss
- Alternative: *light guides*
- Describe using Liouville's equation from Hamiltonian optics in phase space
- Accurate solver needed due to chaotic paths of light in light guide



Deliverables

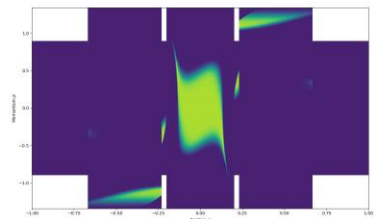
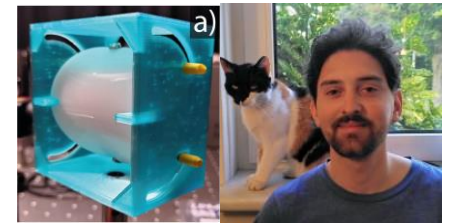
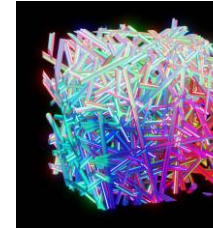


C1 Freeform optics with scattering

- Rotationally symmetric design strategy
- Non-rotationally symmetric

C2 Scattering with freeforms

- Analytic model of scattering in presence of freeform effects
- Scattering and design rules



C3 Homogeneous illumination

- Extension of active flux scheme to 4D phase space
- Design rules for non-extruded light guides

An Inverse Model for Two-Dimensional Reflectors With Scattering

Vì Kronberg^{†,}, Martijn Anthonissen[†],
Jan ten Thijs Boonkkamp[†], and Wilbert IJzerman^{†,‡}*

[†]Eindhoven University of Technology, Eindhoven, The Netherlands

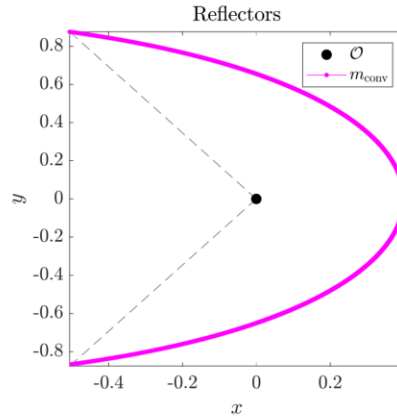
[‡]Signify Research, Eindhoven, The Netherlands

*Corresponding author: v.c.e.kronberg@tue.nl

Freeform Scattering Optics

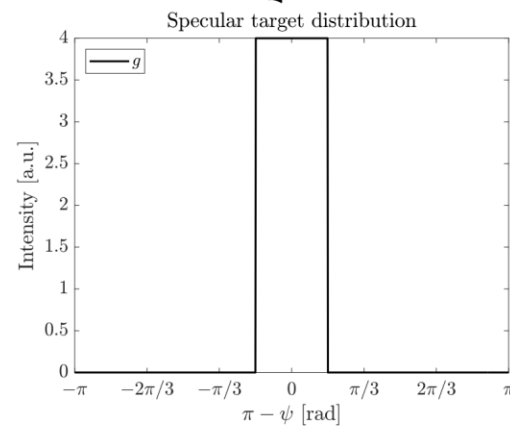
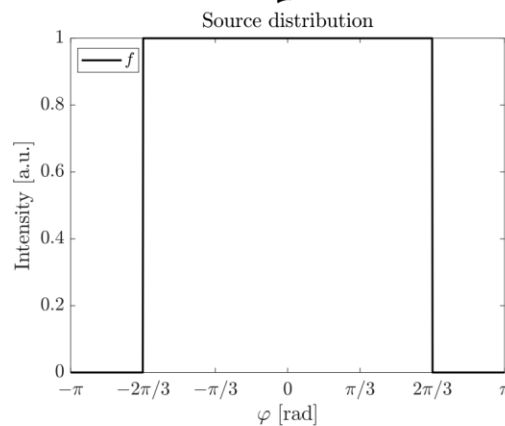
Definitions

Freeform Scattering Optics

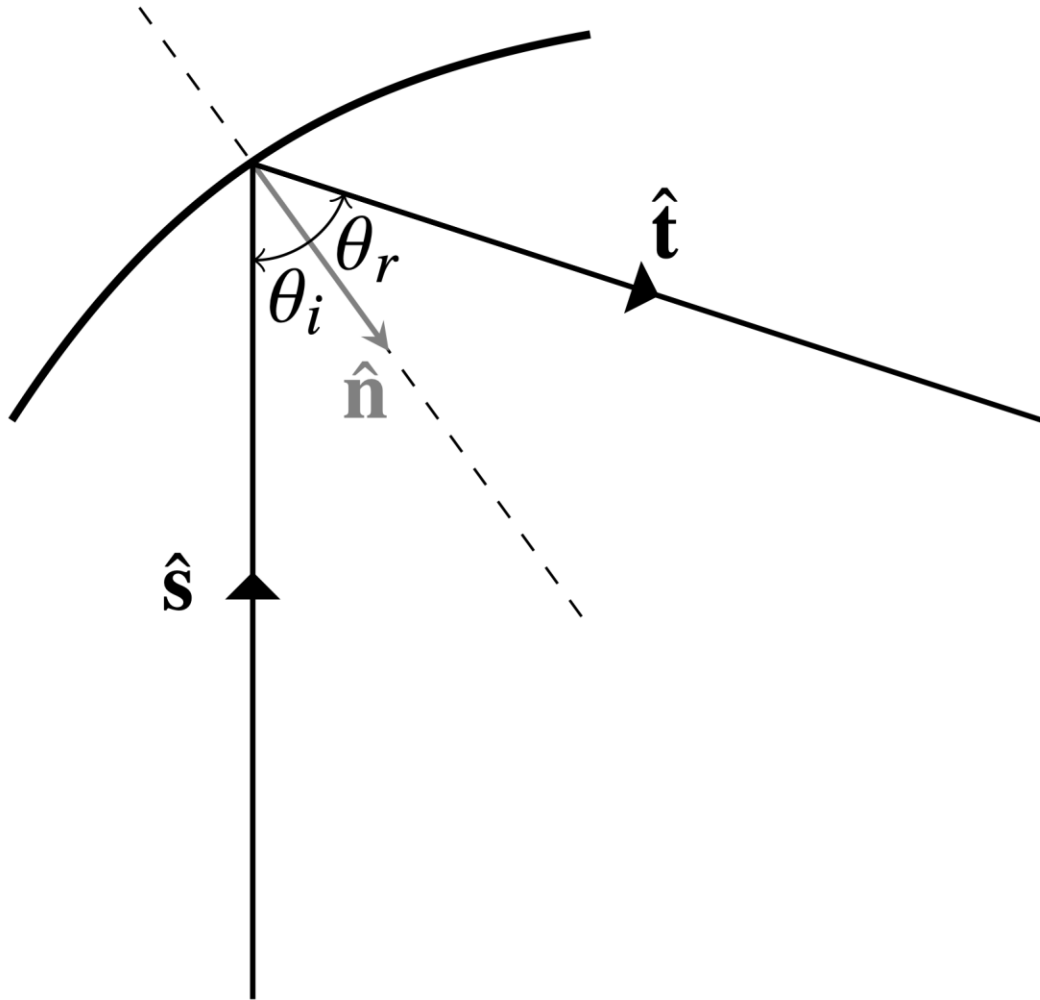


- **Inverse problem:** determine an optical system (reflector) from given source and target light distributions.

Inverse problem

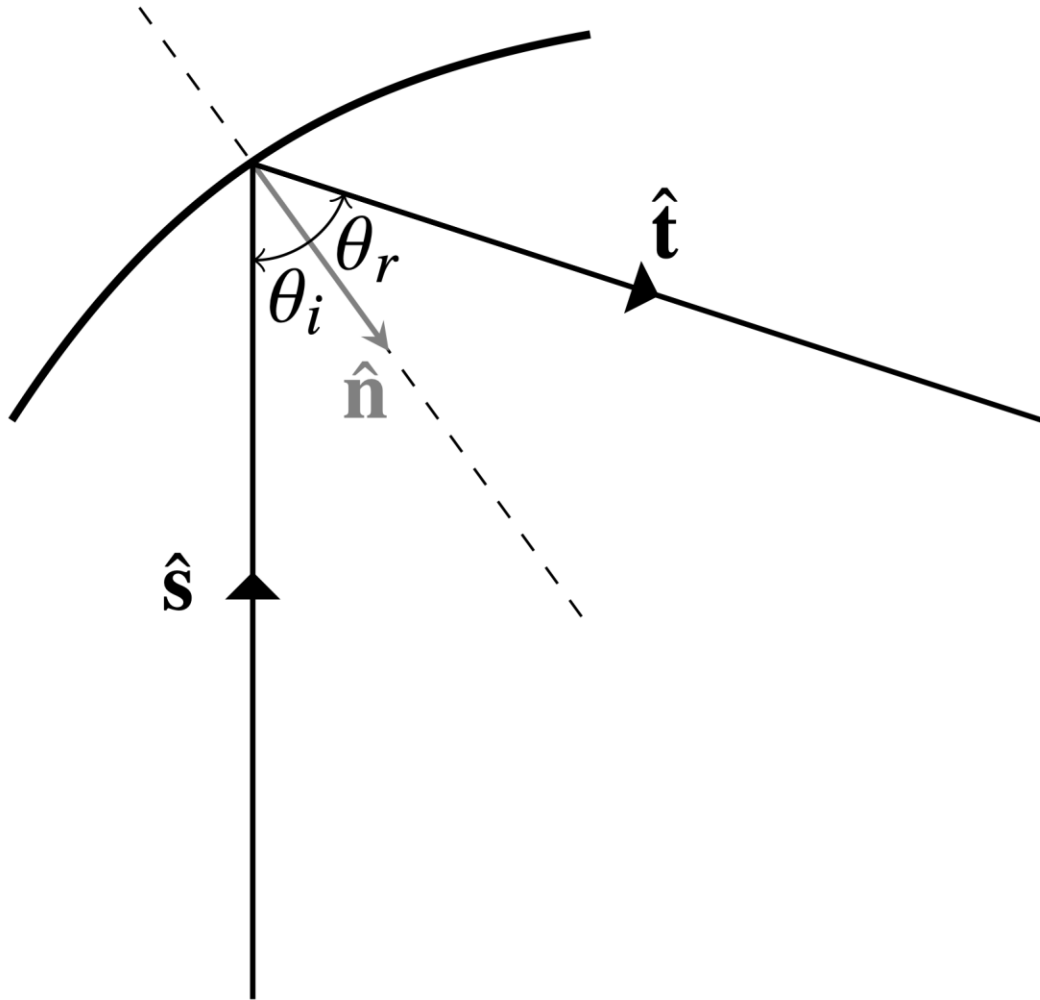


Freeform Scattering Optics



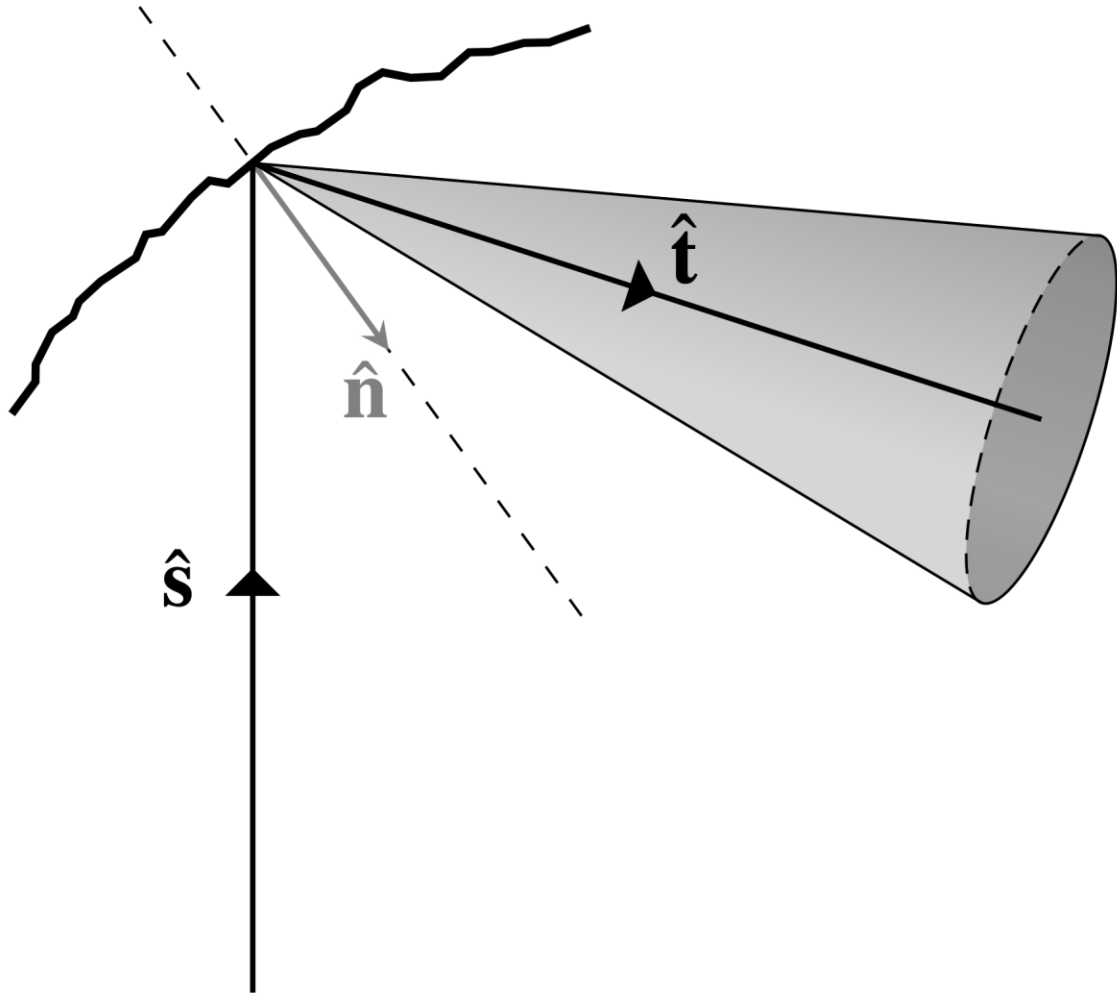
- **Inverse problem:** determine an optical system (reflector) from given source and target light distributions.
- **Geometrical optics (GO):** ray formulation of light propagation.

Freeform Scattering Optics



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- ▶ **Geometrical optics (GO):** ray formulation of light propagation.
- ▶ **Specular reflection:** mirror-like reflection where $\theta_i = \theta_r$.

Freeform Scattering Optics



- ▶ **Inverse problem:** determine an optical system (reflector) from given source and target light distributions.
- ▶ **Geometrical optics (GO):** ray formulation of light propagation.
- ▶ **Specular reflection:** mirror-like reflection where $\theta_i = \theta_r$.
- ▶ **Diffuse reflection / light scattering:** incident ray is scattered in multiple directions.

Freeform Scattering Optics

Background

Freeform Scattering Optics

- ▶ Contemporary design procedures generally use the **GO approximation**.
- ▶ **Result:** scattering effects are difficult to account for when solving the **inverse problem**.

Freeform Scattering Optics

- ▶ Contemporary design procedures generally use the **GO approximation**.
- ▶ **Result:** scattering effects are difficult to account for when solving the **inverse problem**.
- ▶ **Specular reflector design (inverse problem):**
 - ▶ Rotationally and cylindrically symmetric problems → two-dimensions → system of ODEs (Maes, Philips 1997).
 - ▶ General problems → Monge-Ampère equation (see presentation by Romijn from TU/e).

Freeform Scattering Optics

Goal & Approach

Freeform Scattering Optics

Goal: include diffuse reflection in the inverse problem of optical design.

Freeform Scattering Optics

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- ▶ **Chosen approach:** **Transform** the *diffuse target distribution* into an “*equivalent*” *specular distribution*, then solve the inverse problem.

Freeform Scattering Optics

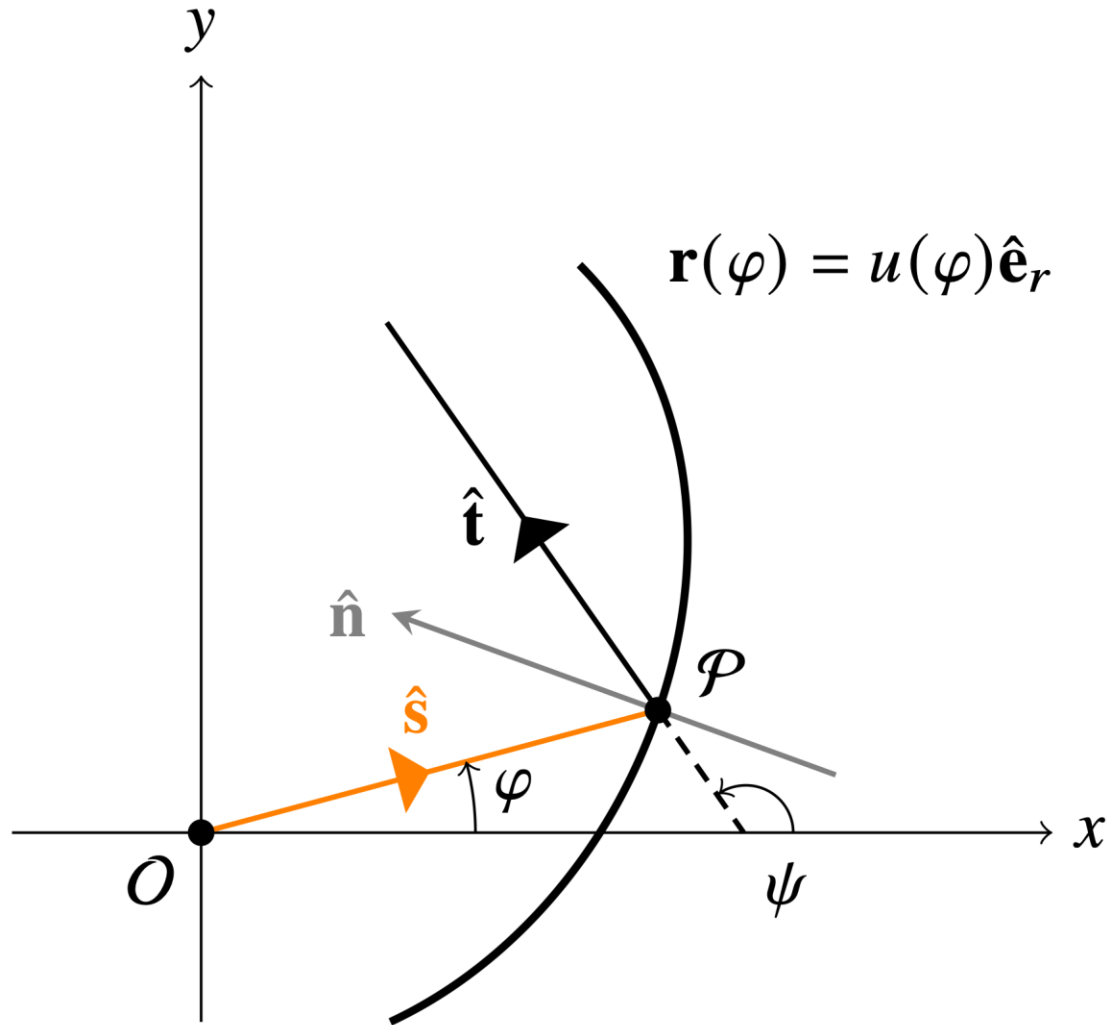
Goal: include diffuse reflection in the inverse problem of optical design.

- ▶ **Chosen approach:** **Transform** the *diffuse target distribution* into an “*equivalent*” *specular distribution*, then solve the inverse problem.
- ▶ **Pillars of our approach:**
 - ▶ Energy conservation.
 - ▶ Specular map.
 - ▶ Scattering map.
 - ▶ Numerical deconvolution/unfolding.

Freeform Scattering Optics

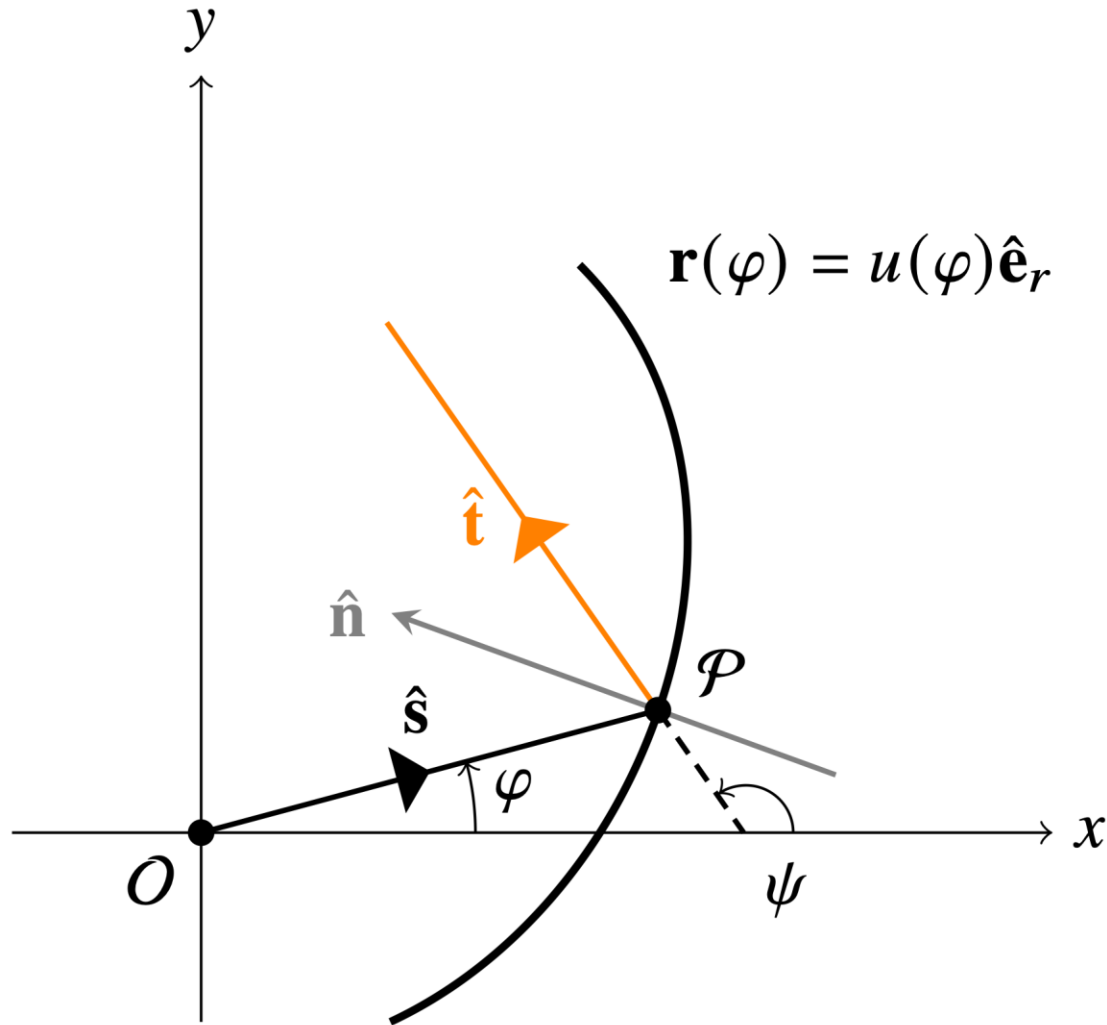
Model Proposal

Freeform Scattering Optics



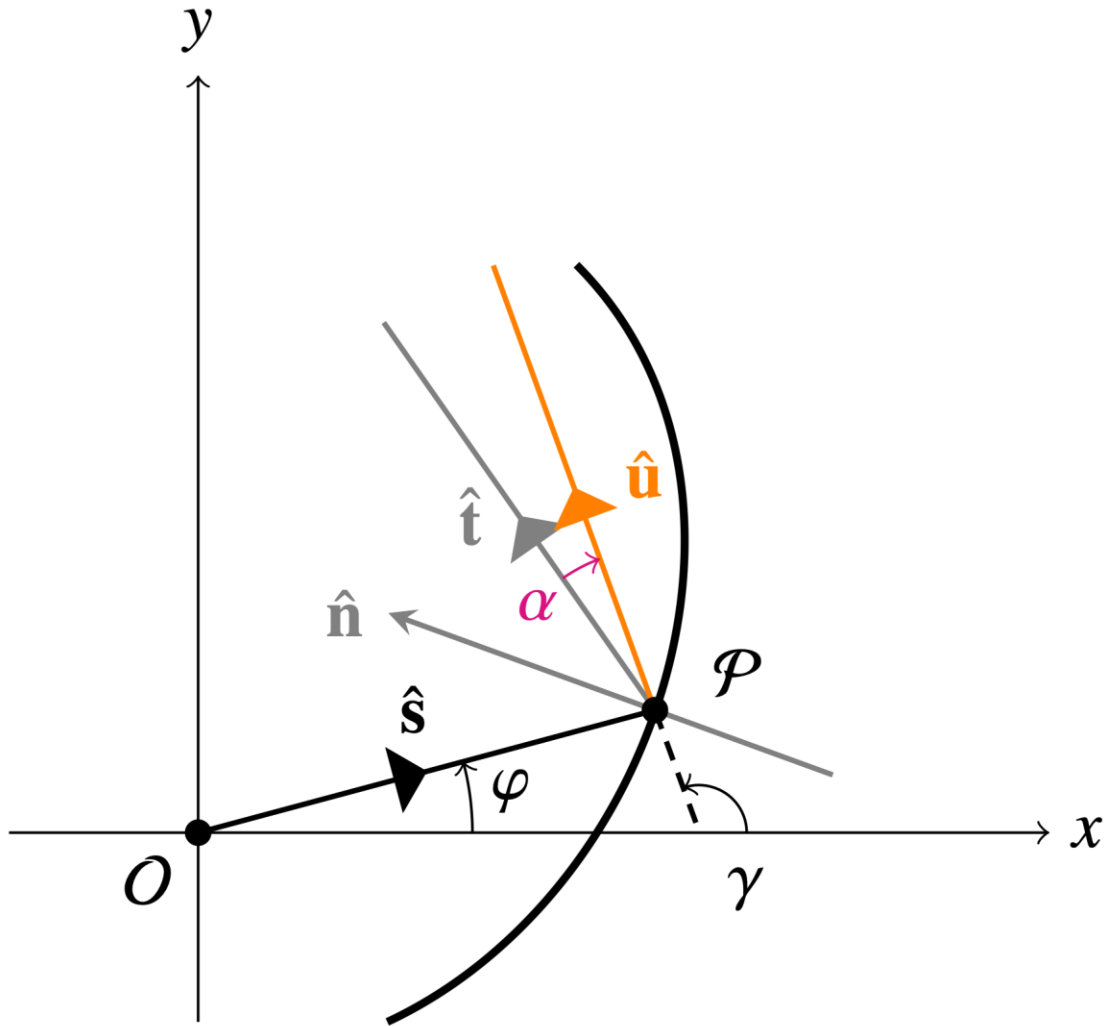
► Source ray: $\hat{\mathbf{s}}(\varphi)$.

Freeform Scattering Optics



- Source ray: $\hat{\mathbf{s}}(\varphi)$.
- Specular ray: $\hat{\mathbf{t}}(\psi)$.
$$\hat{\mathbf{t}} = \hat{\mathbf{s}} - 2(\hat{\mathbf{s}} \cdot \hat{\mathbf{n}})\hat{\mathbf{n}}$$
- Specular map: $m(\varphi) = \psi$.

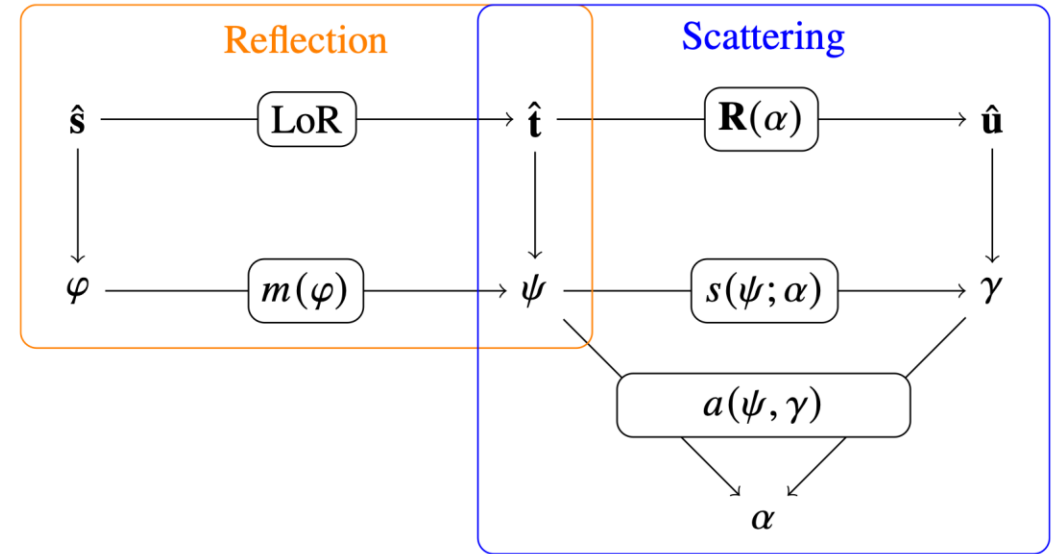
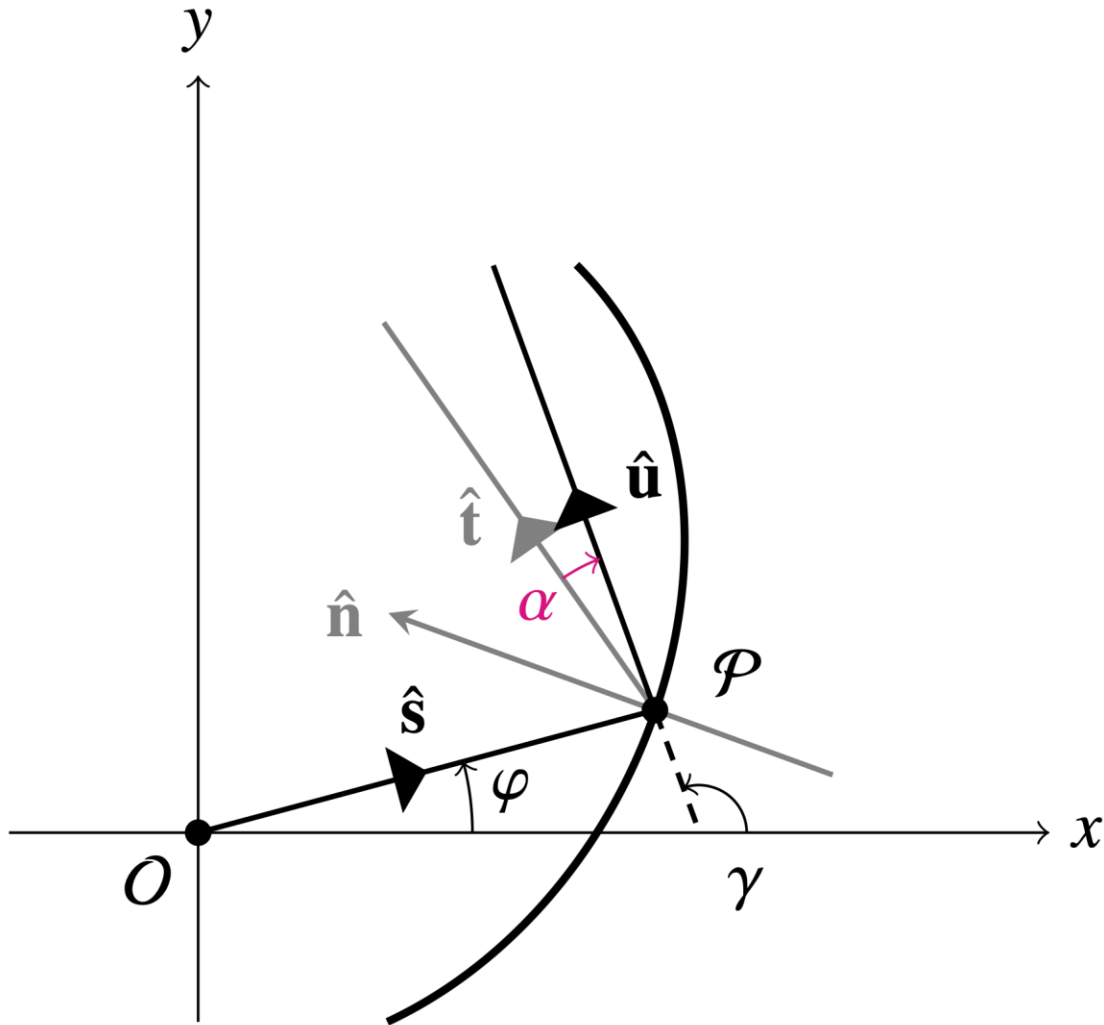
Freeform Scattering Optics



- **Source ray:** $\hat{s}(\varphi)$.
- **Specular ray:** $\hat{t}(\psi)$.

$$\hat{t} = \hat{s} - 2(\hat{s} \cdot \hat{n})\hat{n}$$
- **Specular map:** $m(\varphi) = \psi$.
- **Scattered ray:** $\hat{u}(\gamma)$.
- **Scattering map:** $s(\psi; \alpha) = \gamma$.
 α is a **stochastic parameter**.

Freeform Scattering Optics



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Freeform Scattering Optics

Mappings

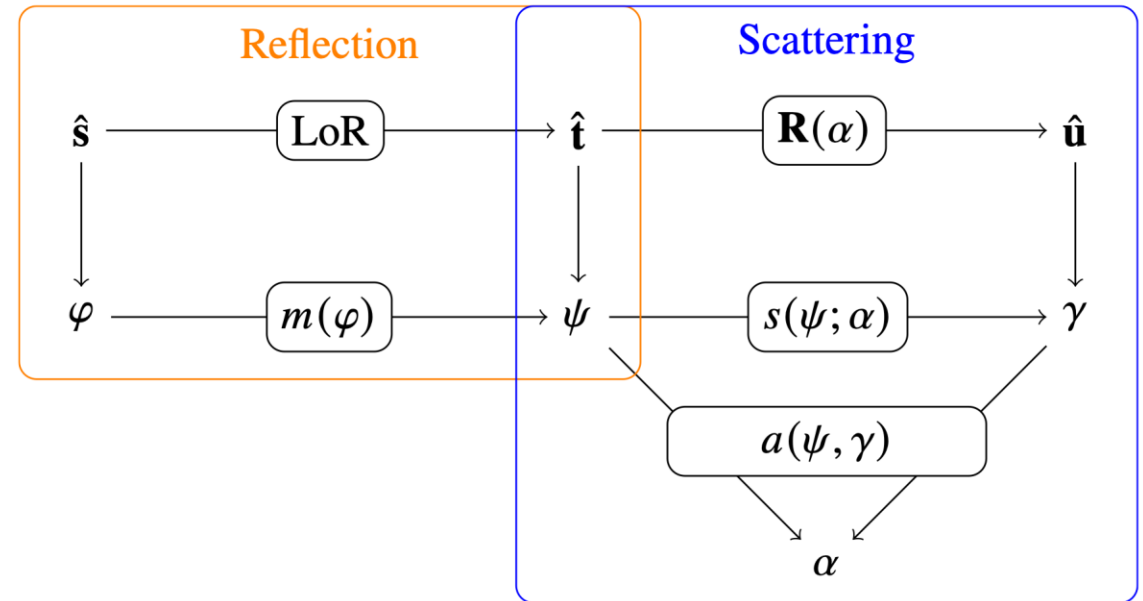
Freeform Scattering Optics

We shall consider the following mappings:

$$s(\psi; \alpha) = \psi + \alpha \equiv \gamma,$$

$$s^{-1}(\gamma; \alpha) = \gamma - \alpha \equiv \psi,$$

$$a(\psi, \gamma) = \gamma - \psi \equiv \alpha.$$



Freeform Scattering Optics

Energy Balances

Freeform Scattering Optics

- **Assumption:** *All* the light emitted from the source arrives at the target (*including* the diffuse light).

Freeform Scattering Optics

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- ▶ **Intensity distributions [lm/rad]:**
 - ▶ $f(\varphi) > 0, \varphi \in [\varphi_1, \varphi_2]$ source
 - ▶ $g(\psi) > 0, \psi \in [\psi_1, \psi_2]$ specular target
 - ▶ $h(\gamma) > 0, \gamma \in [\gamma_1, \gamma_2]$ diffuse target

Freeform Scattering Optics

- ▶ **Assumption:** All the light emitted from the source arrives at the target (*including* the diffuse light).
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- ▶ **Energy balances:**

$$\int_{\varphi_1}^{\varphi_2} f(\varphi) d\varphi = \int_{\psi_1}^{\psi_2} g(\psi) d\psi = \int_{\gamma_1}^{\gamma_2} h(\gamma) d\gamma.$$

Freeform Scattering Optics

Density

Freeform Scattering Optics

- ▶ This concept is inspired by optimal mass transportation.
“What is the best way to move a pile of sand from A to B?”

Freeform Scattering Optics

- ▶ This concept is inspired by optimal mass transportation.
“What is the best way to move a pile of sand from A to B?”
- ▶ **Introduce density:**

$$\rho(\psi, \gamma) \geq 0, \quad \psi \in [\psi_1, \psi_2], \quad \gamma \in [\gamma_1, \gamma_2],$$

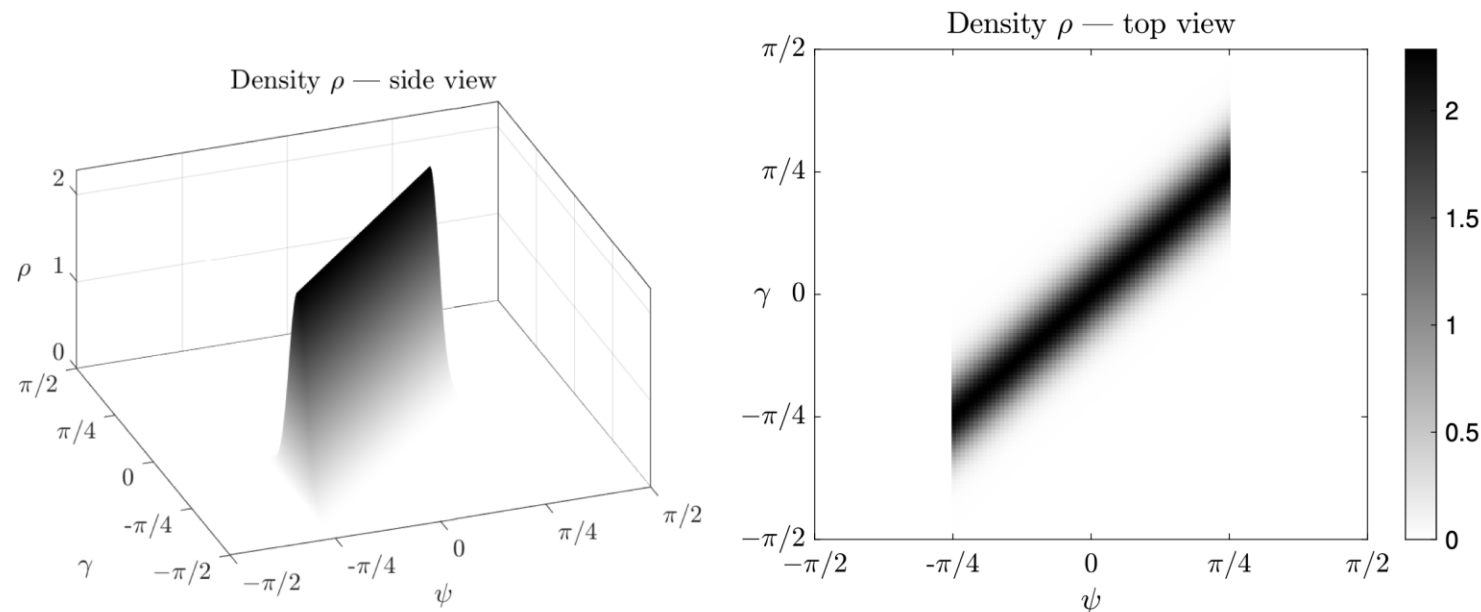
such that

$$\int_{\psi_1}^{\psi_2} \rho(\psi, \gamma) d\psi = h(\gamma) \quad \text{and} \quad \int_{\gamma_1}^{\gamma_2} \rho(\psi, \gamma) d\gamma = g(\psi).$$

Freeform Scattering Optics

$$\int_{\psi_1}^{\psi_2} \rho(\psi, \gamma) d\psi = h(\gamma) \quad \text{and} \quad \int_{\gamma_1}^{\gamma_2} \rho(\psi, \gamma) d\gamma = g(\psi).$$

- We choose (isotropic scattering): $\rho(\psi, \gamma) = p(a(\psi, \gamma))g(\psi) = p(\gamma - \psi)g(\psi)$.



Freeform Scattering Optics

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- Insertion into relation for h yields (**convolution**):

$$h(\gamma) = \int_{\psi_1}^{\psi_2} p(\gamma - \psi)g(\psi) d\psi.$$

Freeform Scattering Optics

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$$h(\gamma) = \int_{\psi_1}^{\psi_2} p(\gamma - \psi)g(\psi) d\psi.$$

- Transforming ψ to α yields:

$$h(\gamma) = \int_{\alpha_1}^{\alpha_2} p(\alpha)g(\gamma - \alpha) d\alpha.$$

Freeform Scattering Optics

Algorithm

Freeform Scattering Optics

Given:

- ▶ Source intensity distribution $f(\varphi)$.
- ▶ Diffuse target distribution $h(\gamma)$.
- ▶ Scattering probability density function $p(\alpha)$.

Freeform Scattering Optics

Given:

- ▶ Source intensity distribution $f(\varphi)$.
- ▶ Diffuse target distribution $h(\gamma)$.
- ▶ Scattering probability density function $p(\alpha)$.

Algorithm:

1. **Solve** for the *intermediate specular target distribution* $g(\psi)$ by **deconvolving**

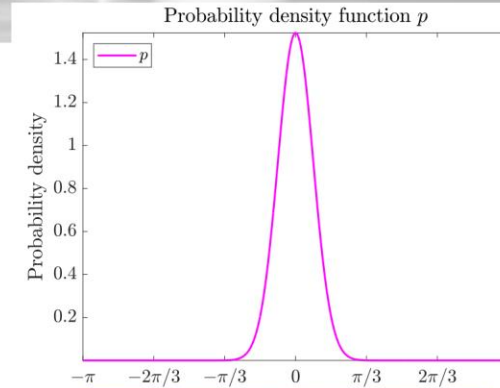
$$h(\gamma) = \int_{\alpha_1}^{\alpha_2} p(\alpha) g(\gamma - \alpha) d\alpha.$$

2. **Compute** the **reflector surface** in the form of a *specular design problem* with $f(\varphi)$ and $g(\psi)$.

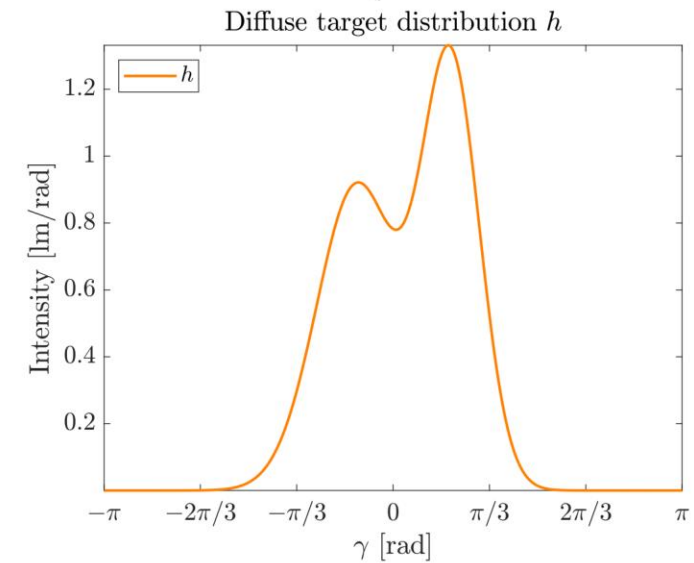
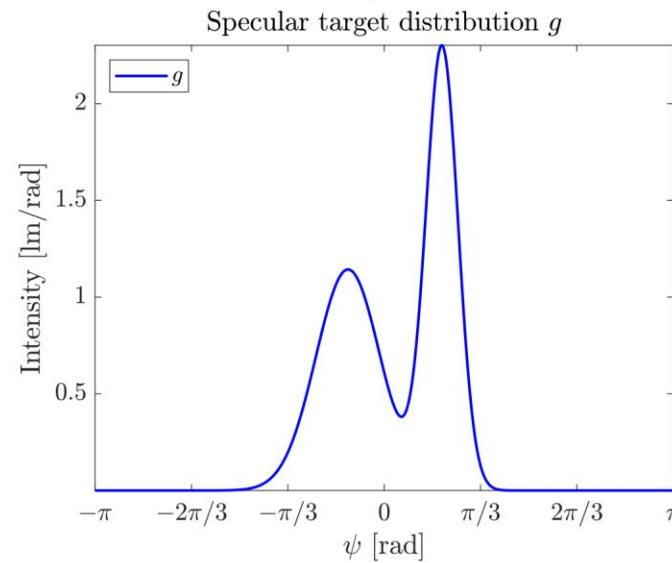
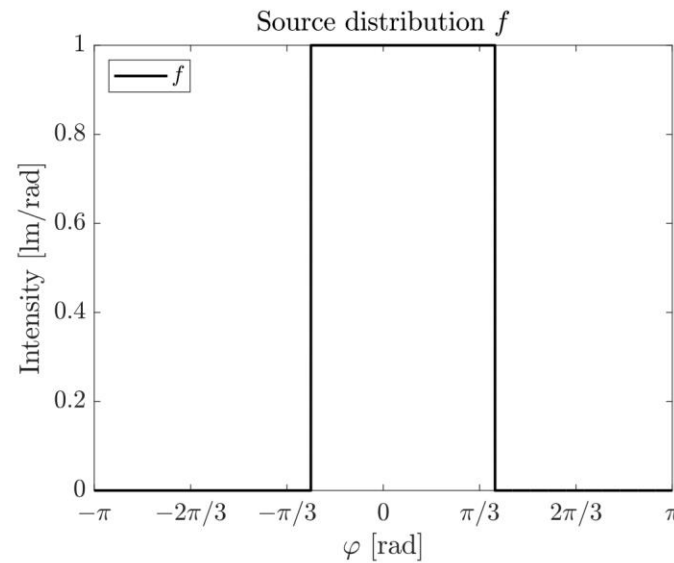
Freeform Scattering Optics

Proof-of-Concept

Freeform Scattering Optics



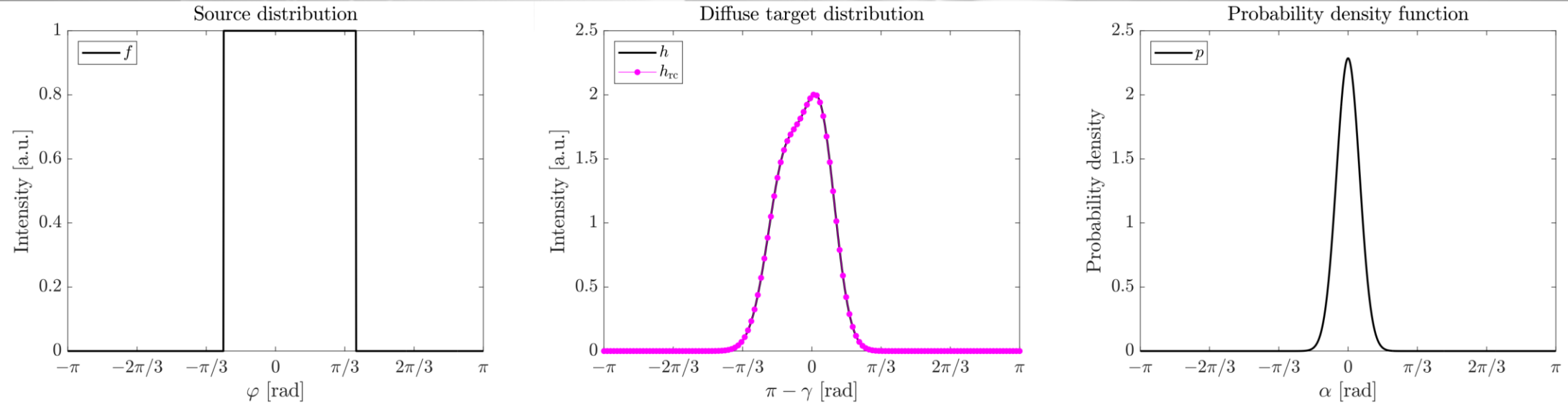
Deconvolution



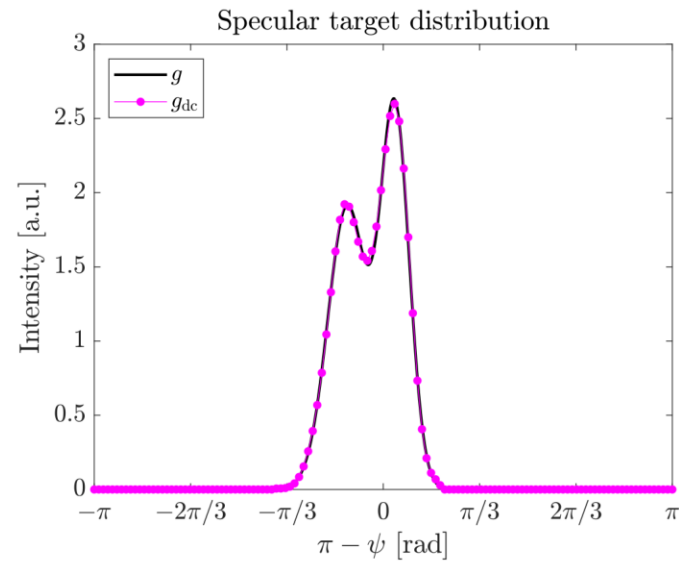
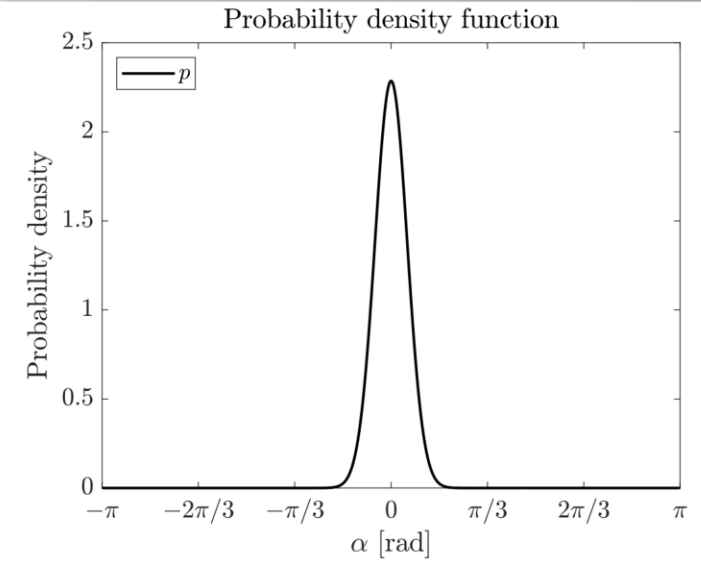
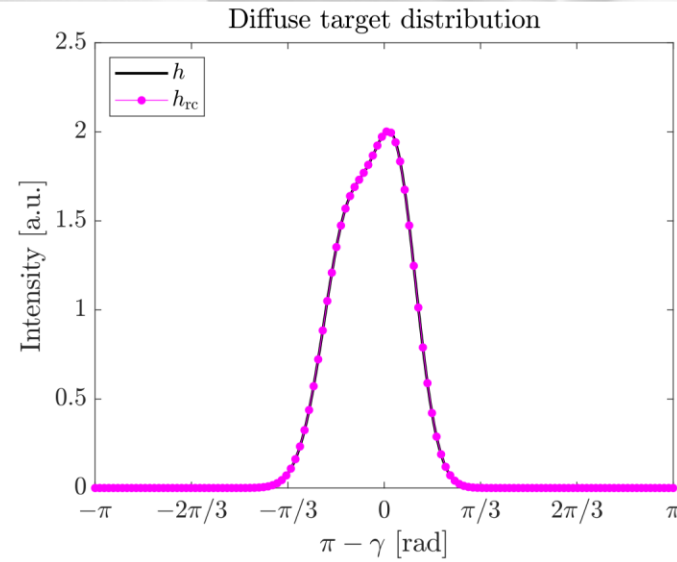
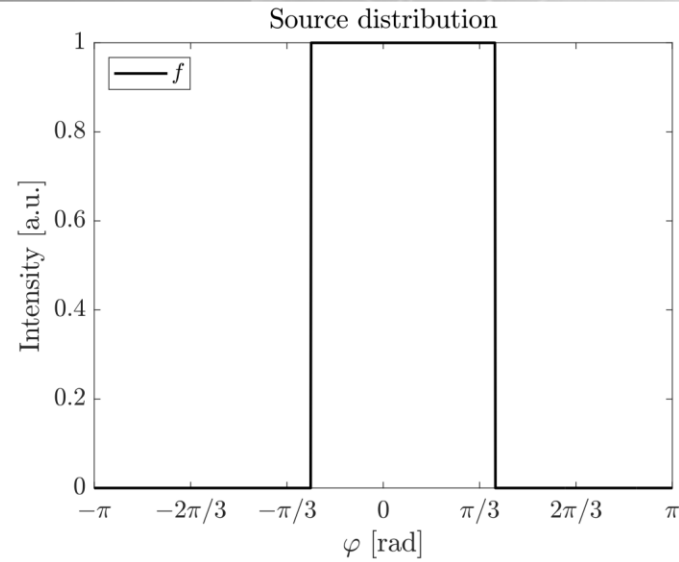
Freeform Scattering Optics

Example

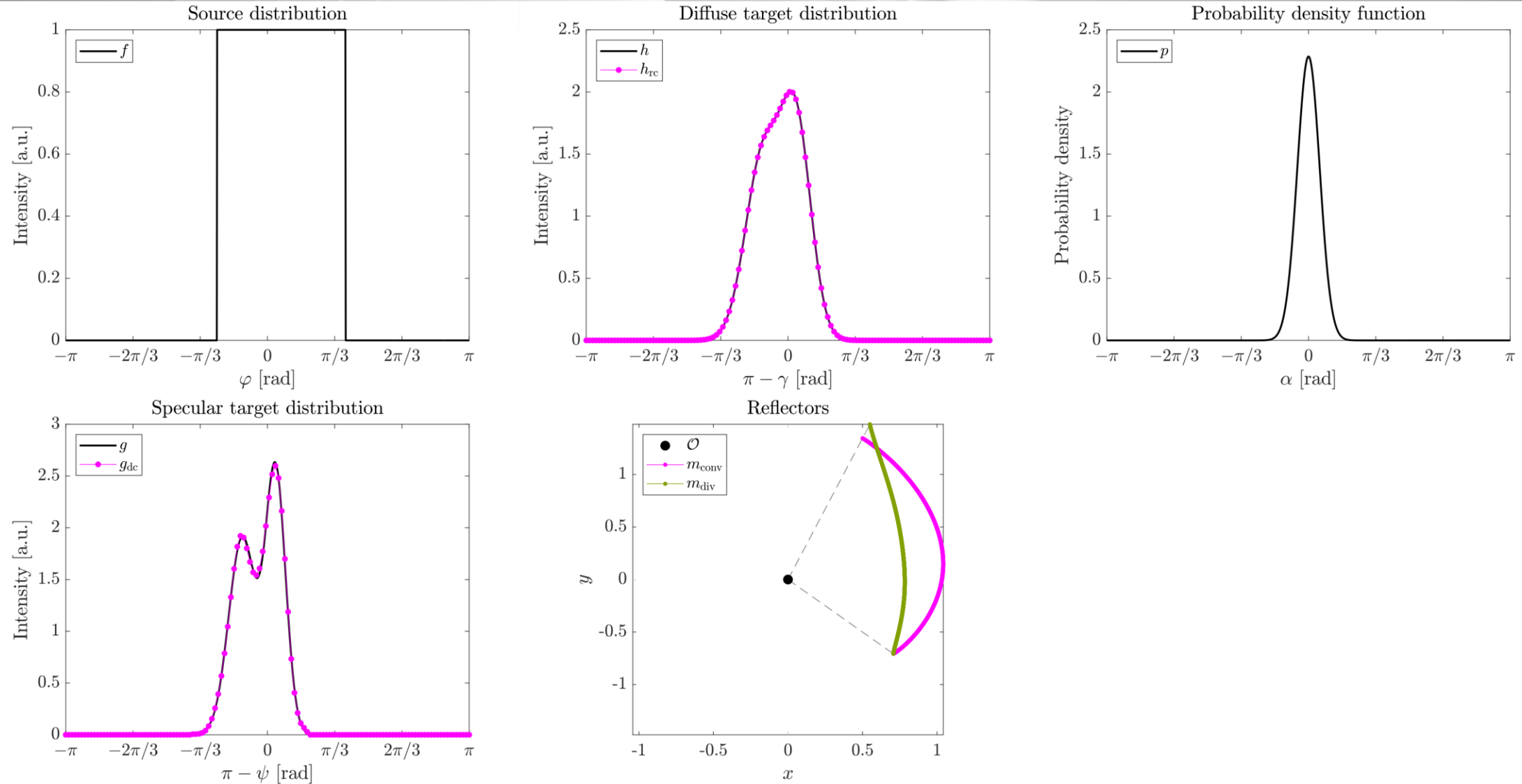
Freeform Scattering Optics



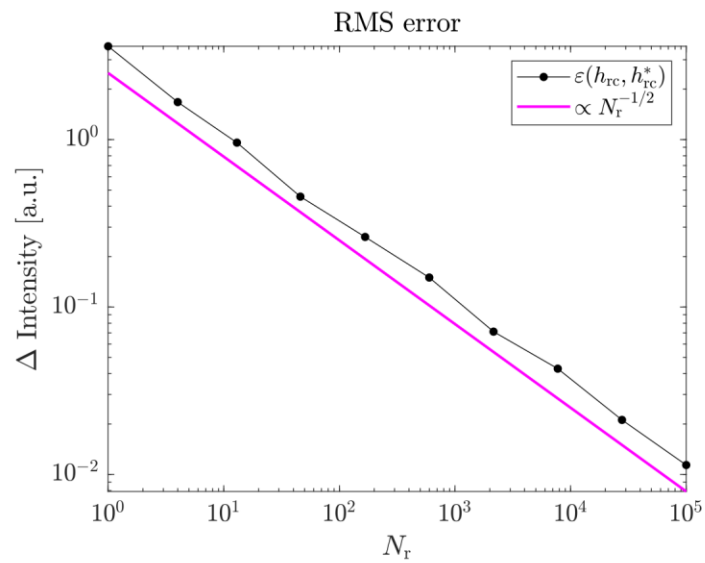
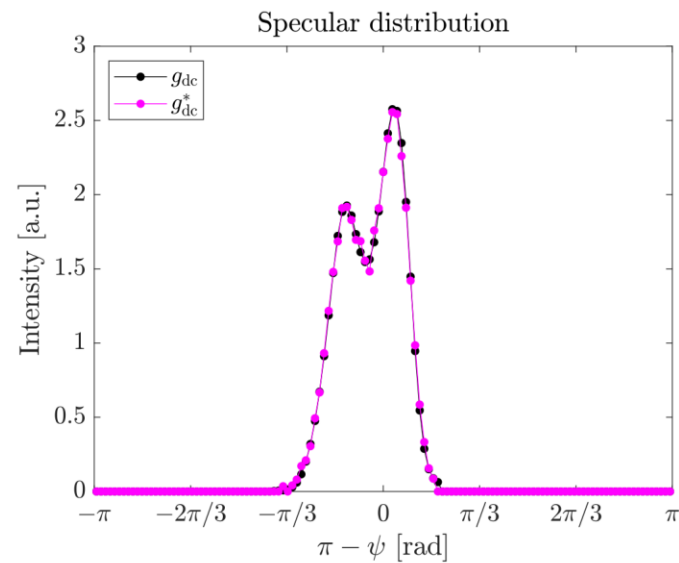
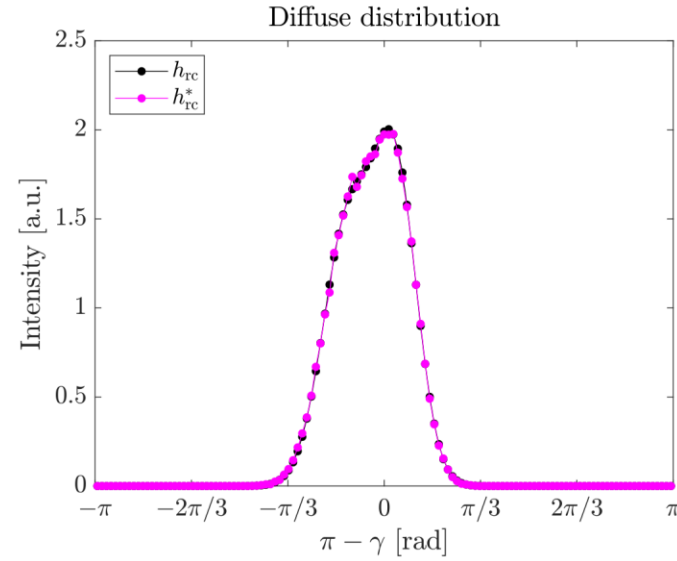
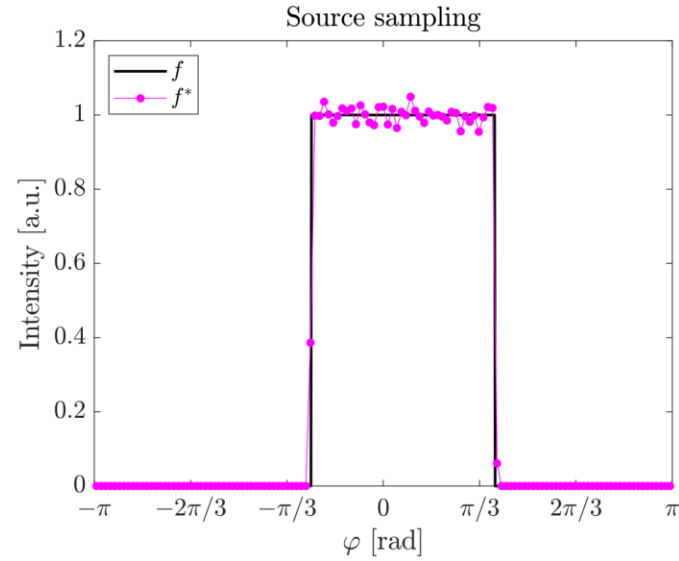
Freeform Scattering Optics



Freeform Scattering Optics



Freeform Scattering Optics



Freeform Scattering Optics

Conclusion

Freeform Scattering Optics

- ▶ **Novel modelling approach for scattering**
 - ▶ Convolution integral between a scattering function and a specular distribution.

Freeform Scattering Optics

- ▶ **Novel modelling approach for scattering**
 - ▶ Convolution integral between a scattering function and a specular distribution.
- ▶ **Advantage of our approach:** Possibility of harnessing the powerful specular design methods when solving diffuse problems.

Freeform Scattering Optics

- ▶ **Novel modelling approach for scattering**
 - ▶ Convolution integral between a scattering function and a specular distribution.
- ▶ **Advantage of our approach:** Possibility of harnessing the powerful specular design methods when solving diffuse problems.

Outlook:

- ▶ Relation to bidirectional reflectance distribution function (BRDF).
- ▶ Extension to three-dimensional freeform surfaces.

Symmetric disordered scattering media



Team

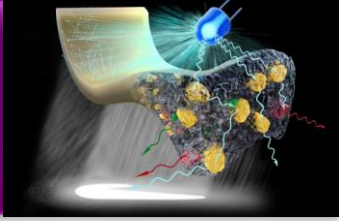
Sudhir Saini

Kayleigh Start

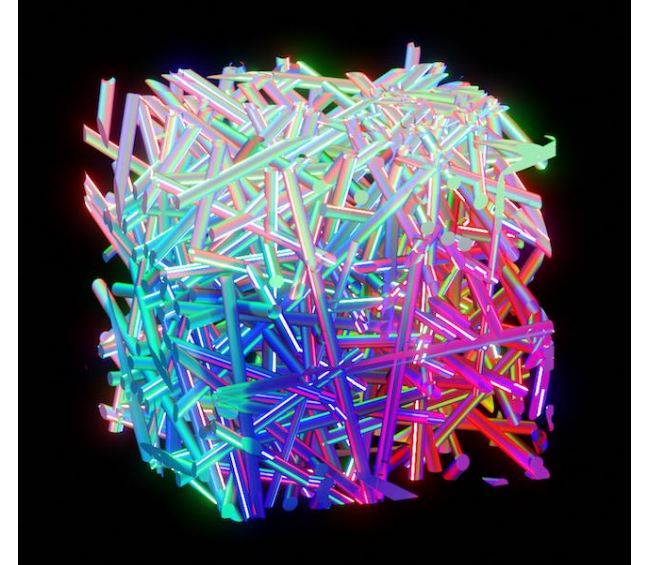
Pepijn Pinkse



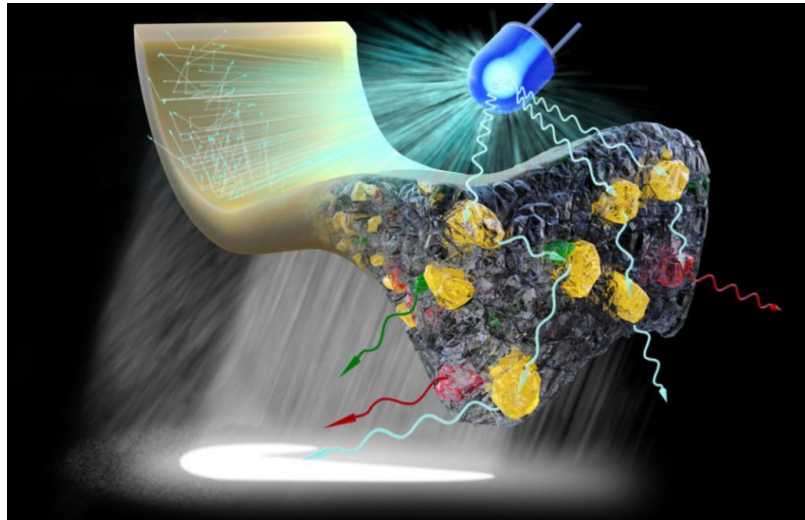
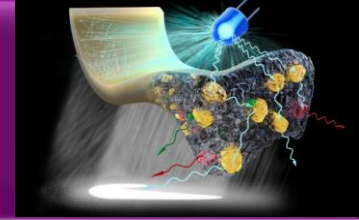
Outline



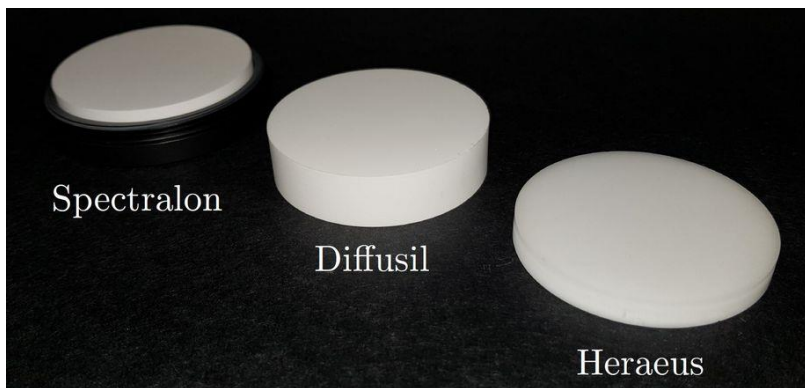
1. **Multiple scattering**
2. **Motivation**
3. **Deterministic Scattering Media**
4. **Symmetry and randomness**
5. **Applications and Outlook**



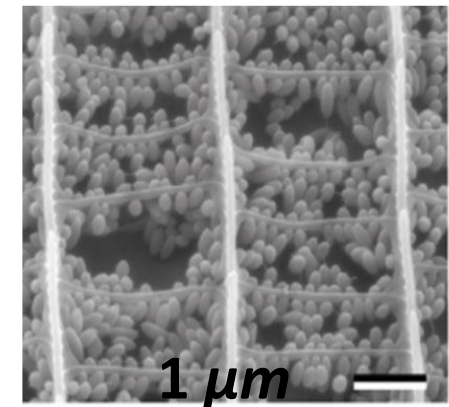
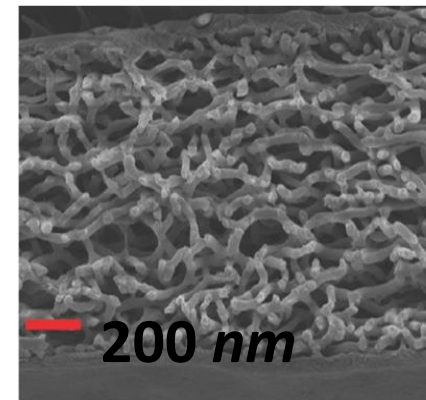
Multiple scattering



LED

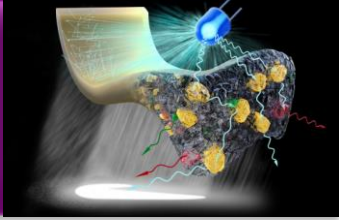


Diffusers slabs

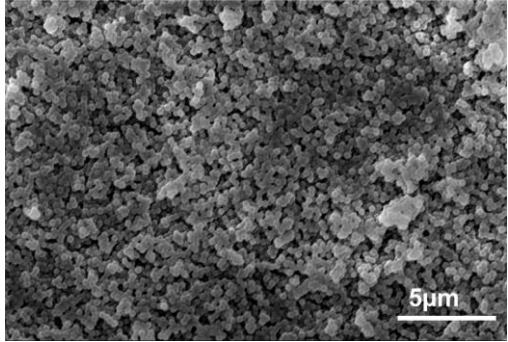


B. D. Wilts, et al. Adv.Opt. Mat. 5, 1600879 (2017)
M. Burreli, et al. Sci. Rep. 4, 6075 (2014).

Motivation

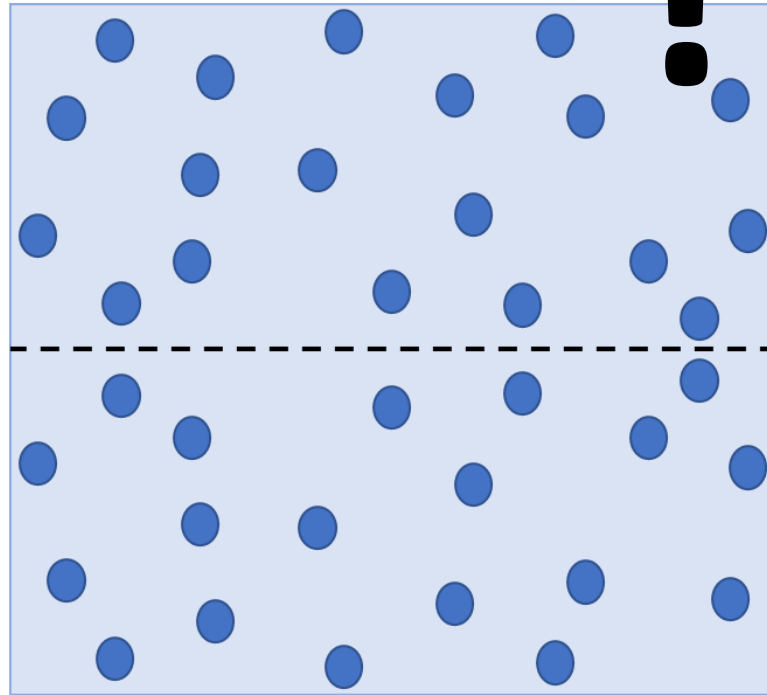


Random TiO_2

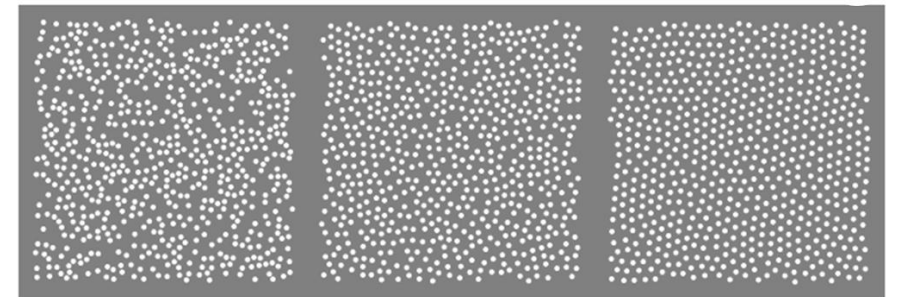
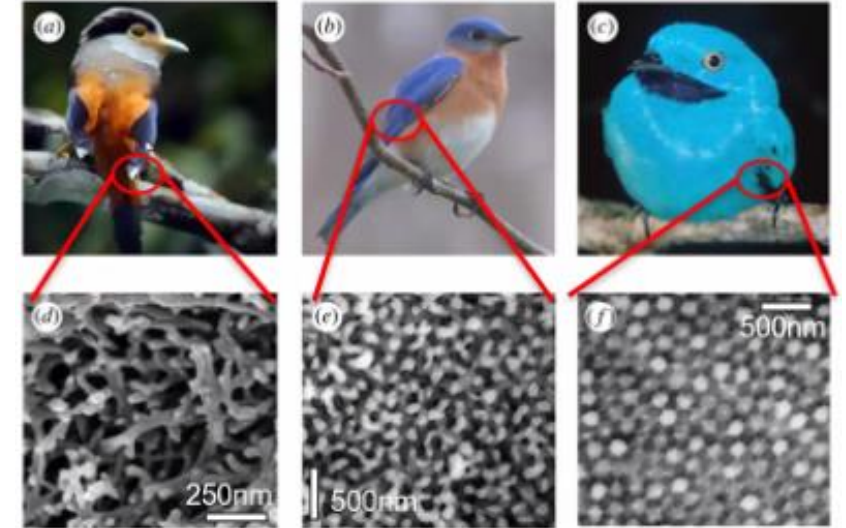


- Optimize scattering length scale (l_s and l_t) ?
 - ✓ Fill fraction.
 - ✓ Refractive index contrast.
- Directional scattering control ?

Symmetry

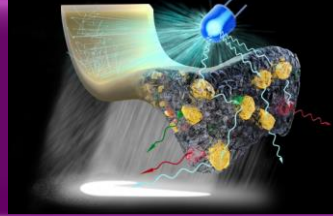


Correlated disorder

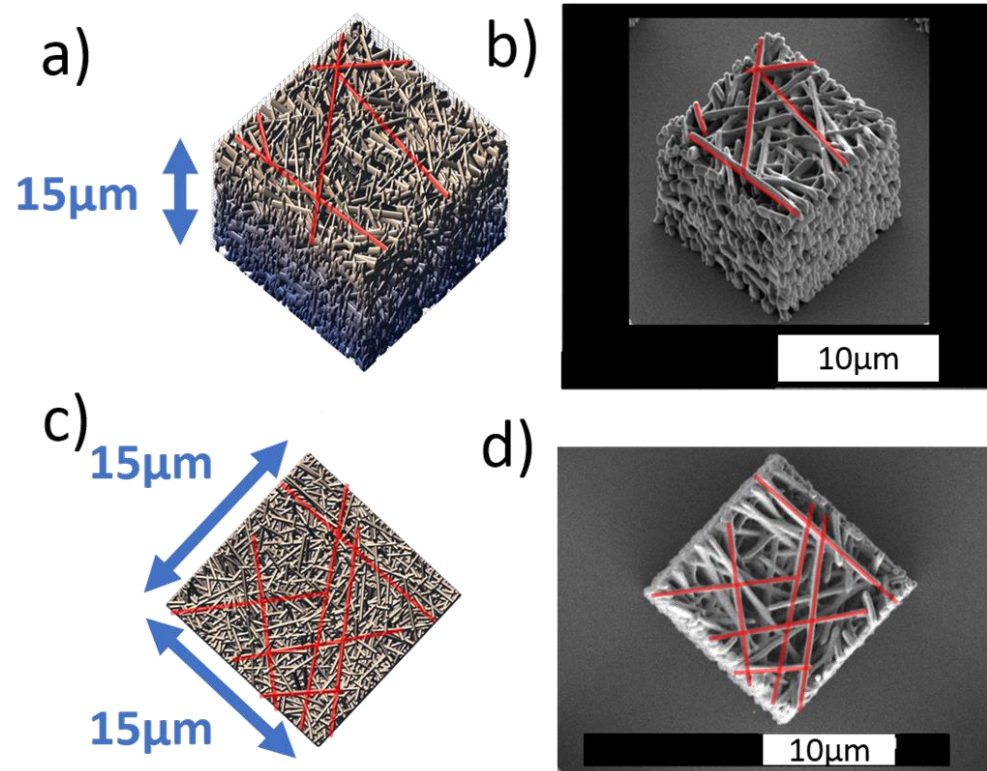


G. M. Conley, et al, *Phys. Rev. Lett.* **112**, 143901 (2014) S. F. Liew et al, *Opt. Exp.* 19, 8208-8217 (2011).
G. Jacucci et al, *Proc. Natl. Acad. Sci.* 117, 23345 (2020).

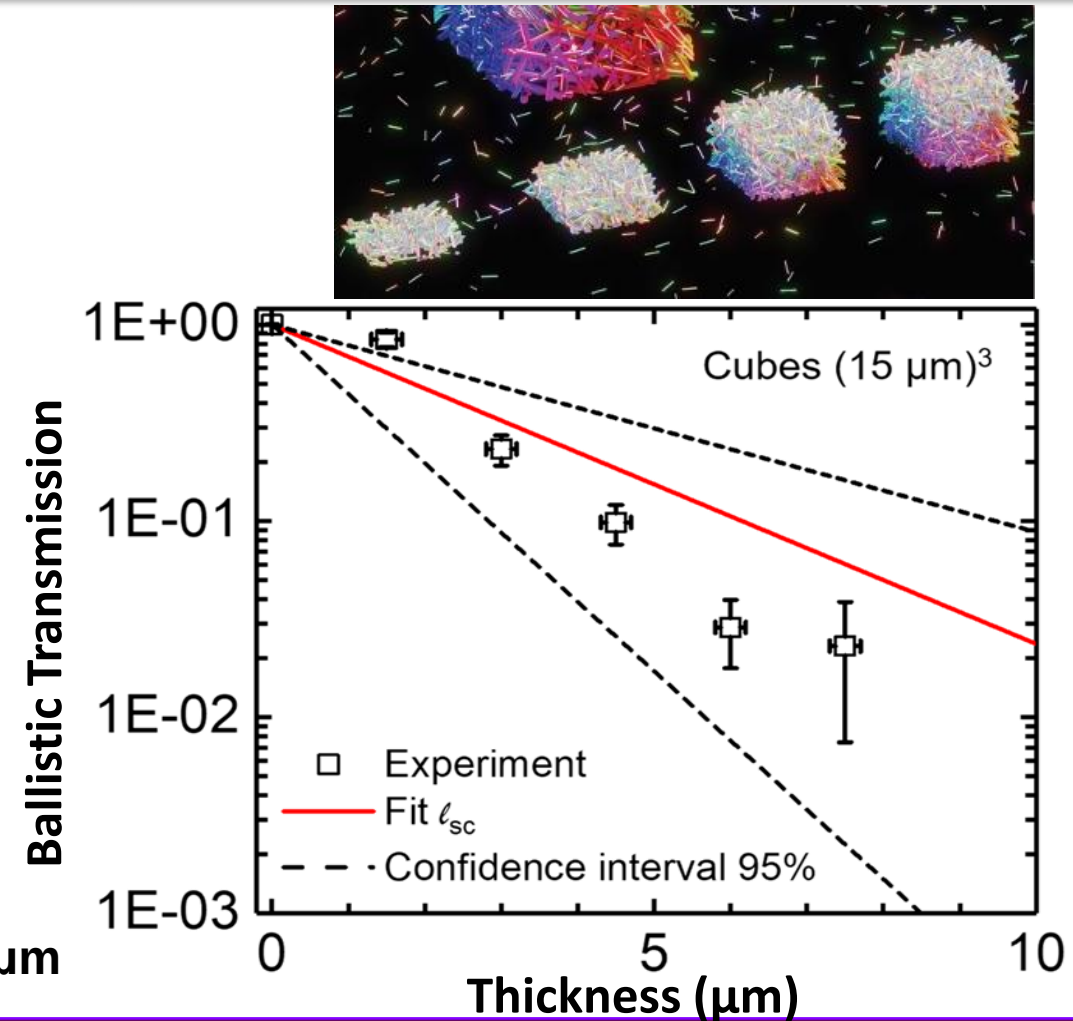
Deterministic disordered media



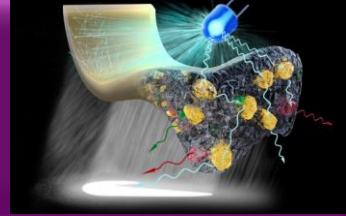
Direct laser writing



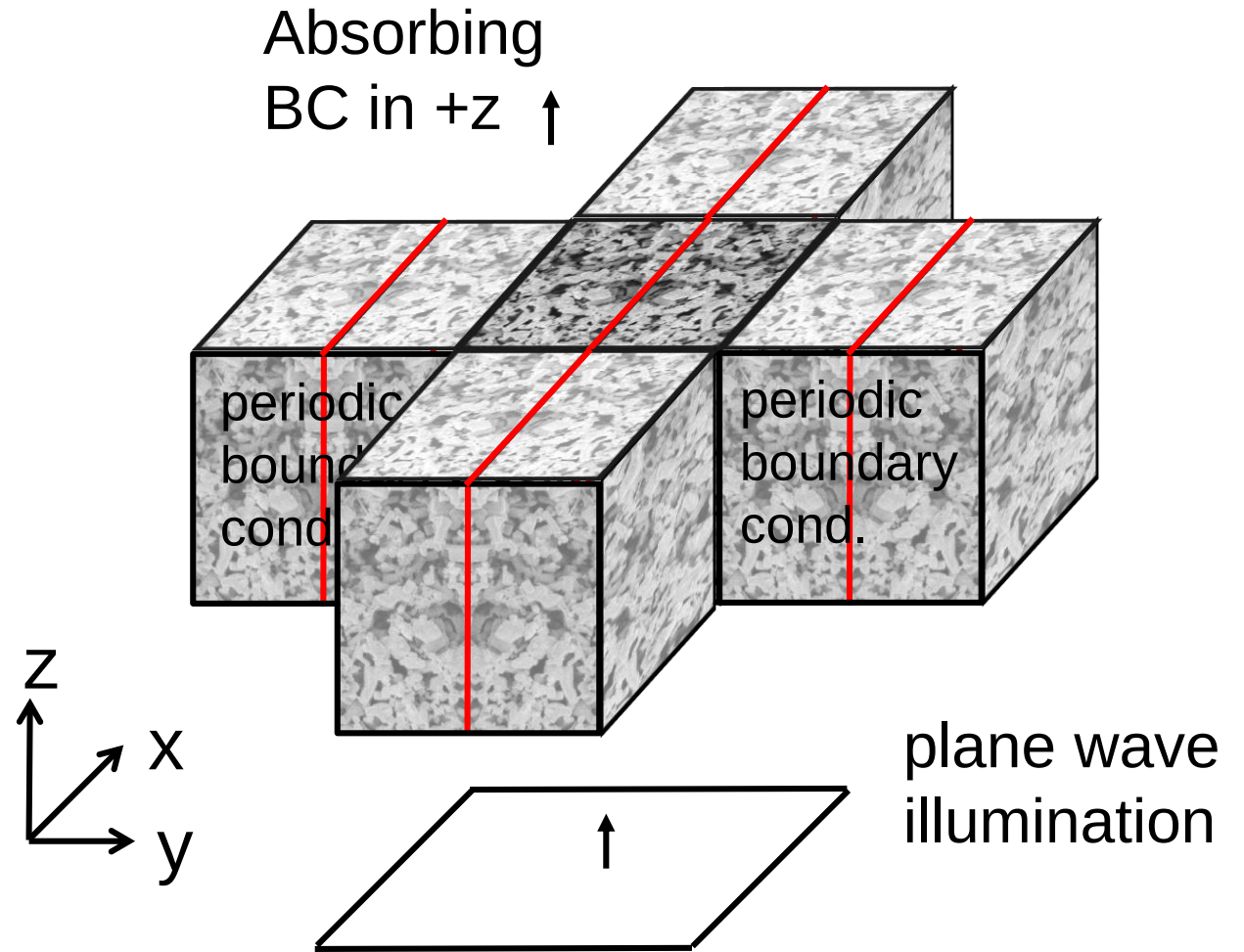
• $\ell_{sc} = 2.6 \pm 1.5 \mu\text{m}$



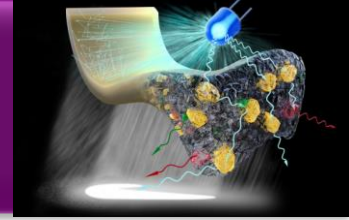
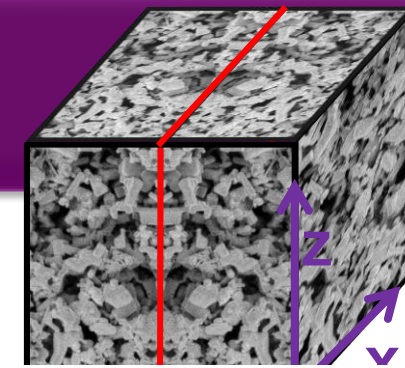
Symmetry & Randomness



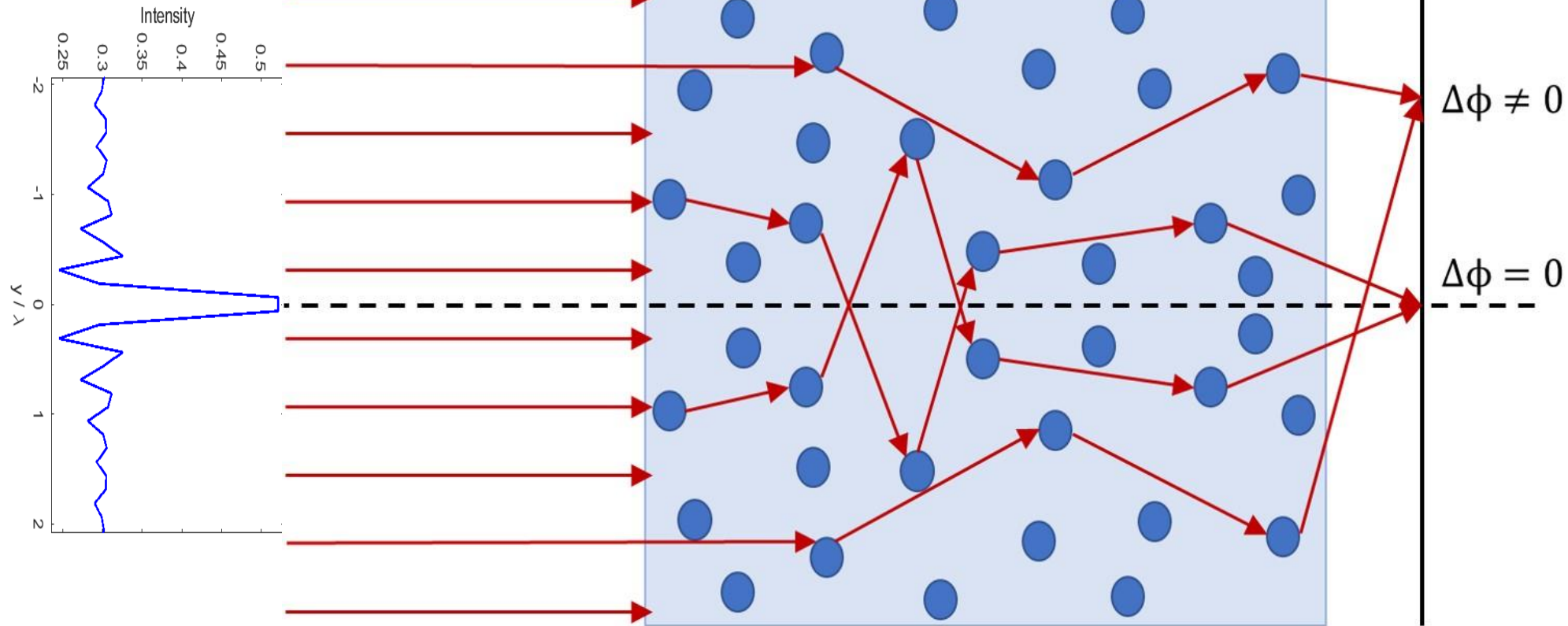
- Point scatterers.
- Symmetry along y direction.
- Illuminated in positive z-direction.
- Should work with white light!



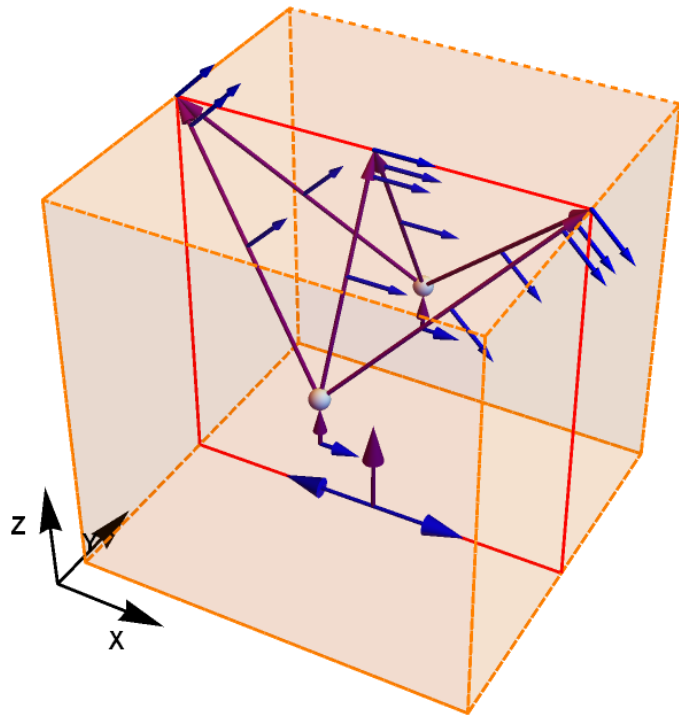
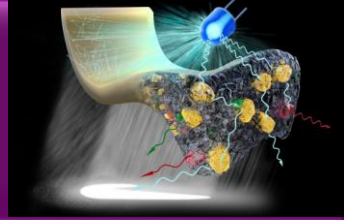
Intensity profile



Polarization?

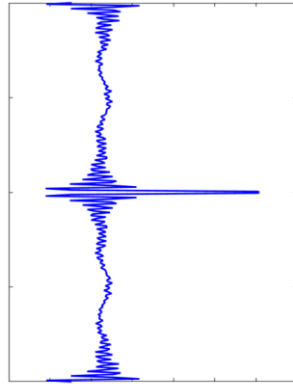


Input polarization in x-direction



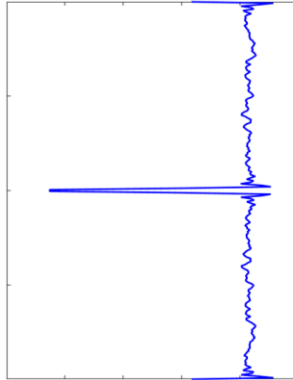
$|E_x|^2$

Intensity

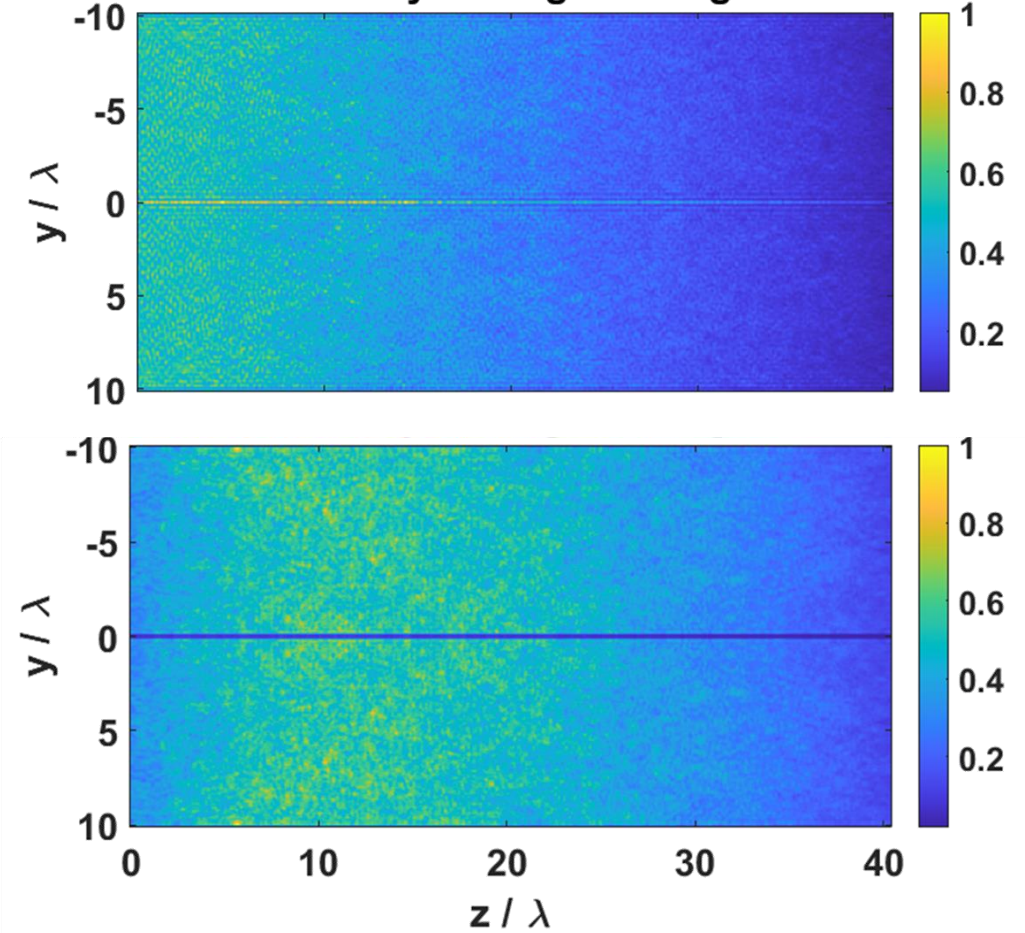


$|E_y|^2$

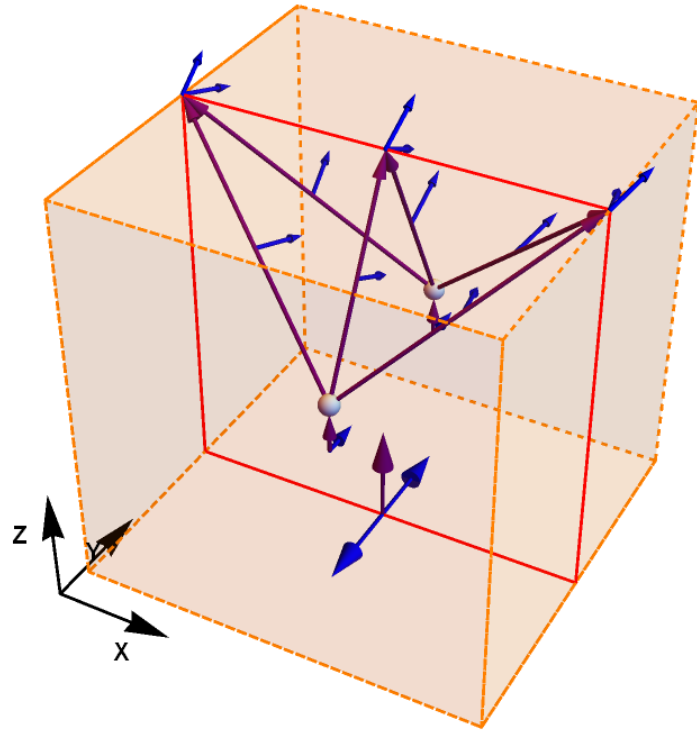
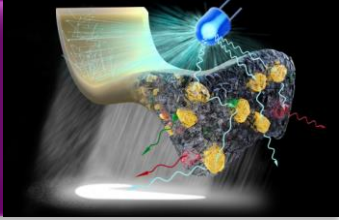
Intensity



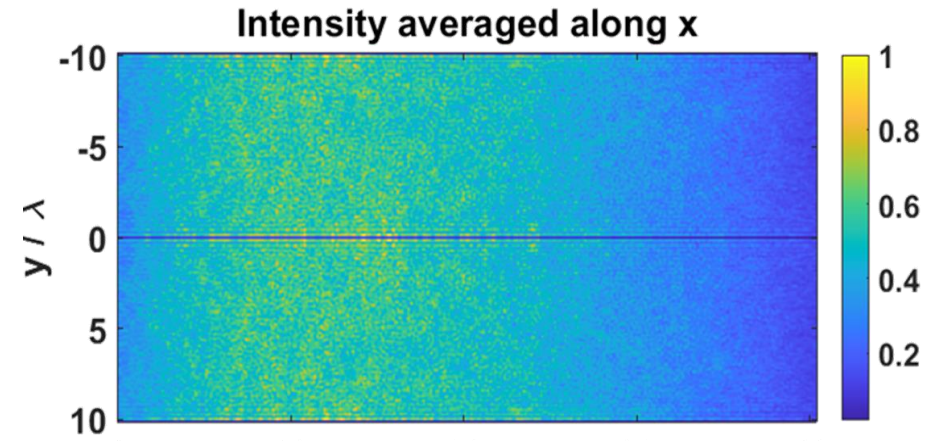
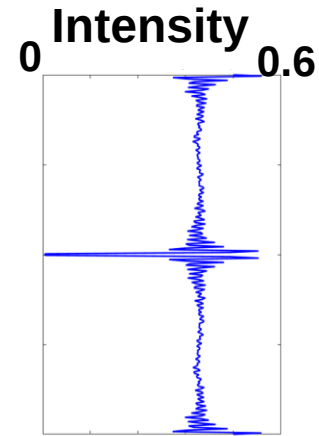
Intensity averaged along x



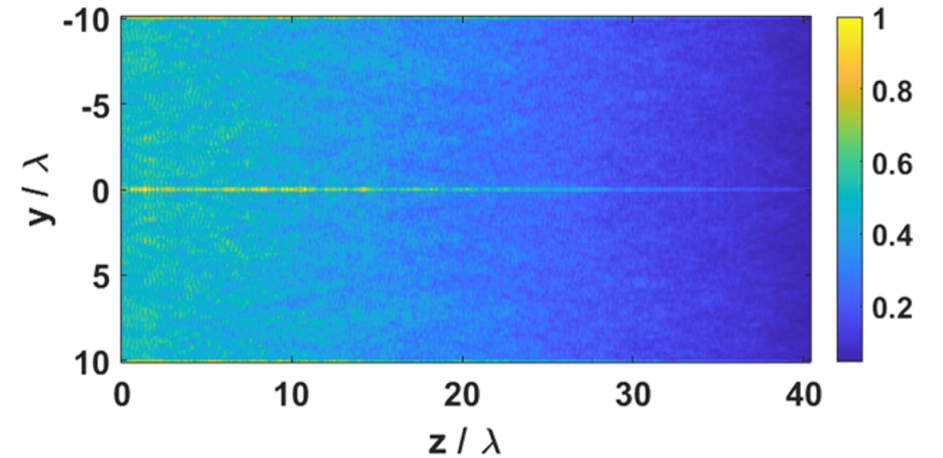
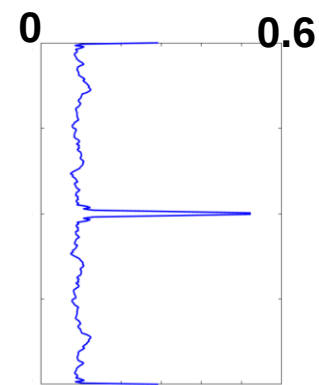
Input polarization in y-direction



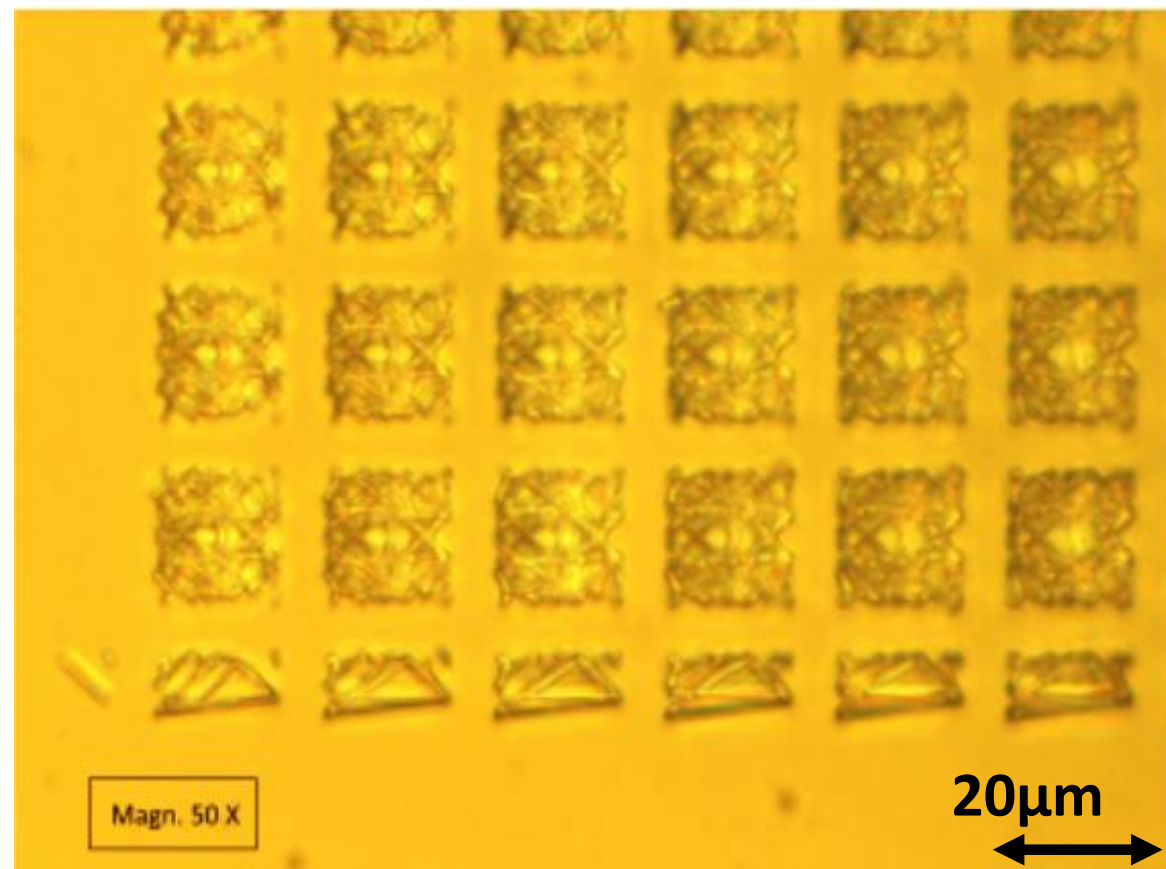
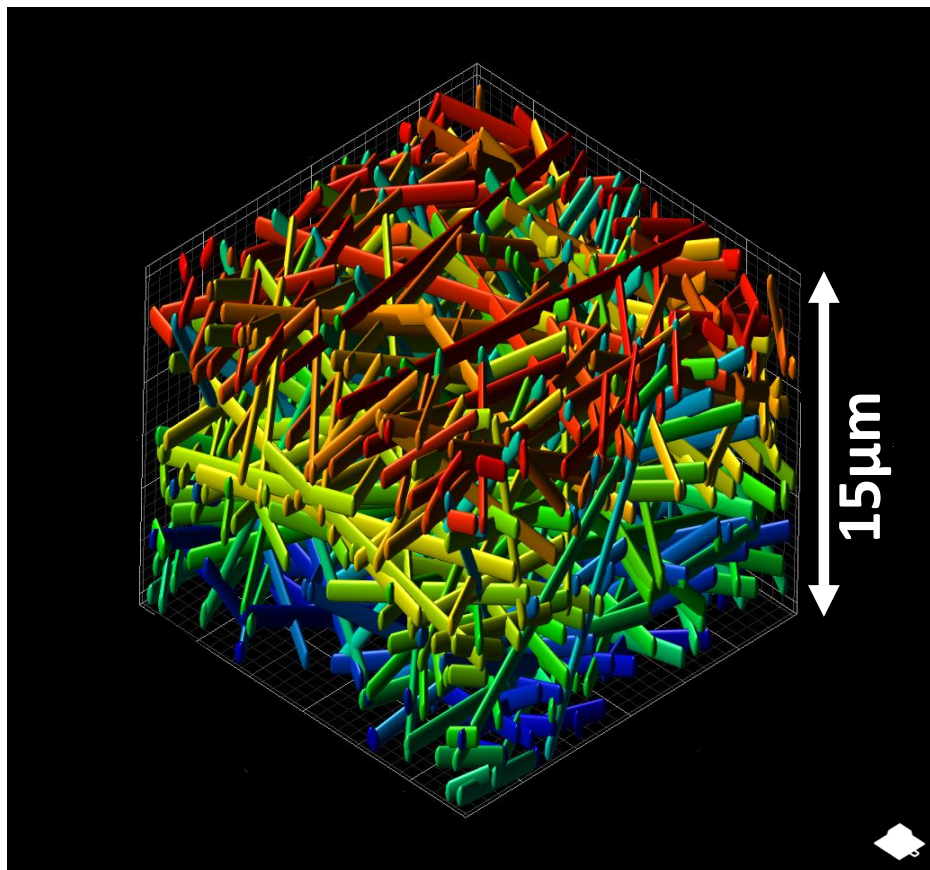
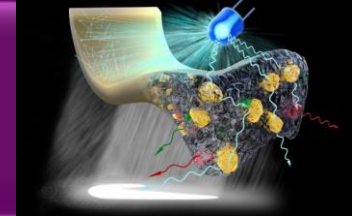
$$|E_x|^2$$



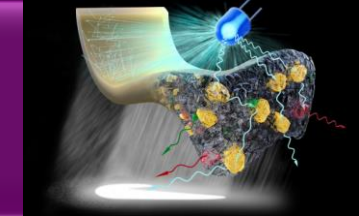
$$|E_y|^2$$



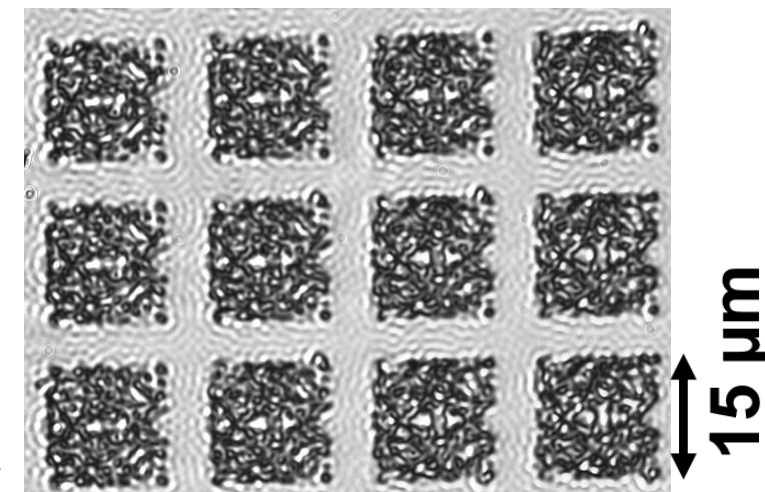
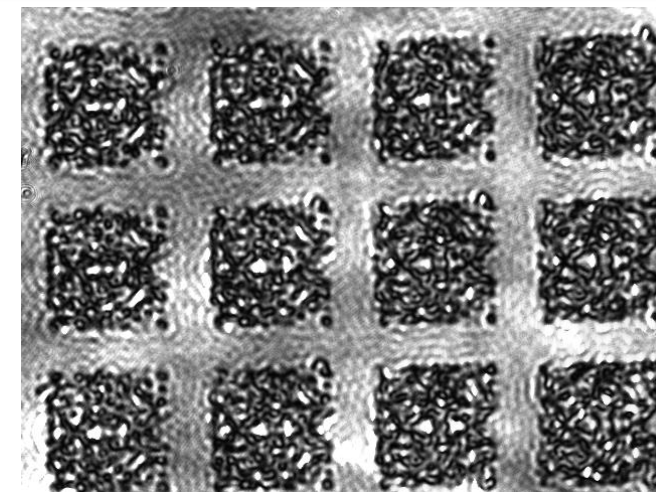
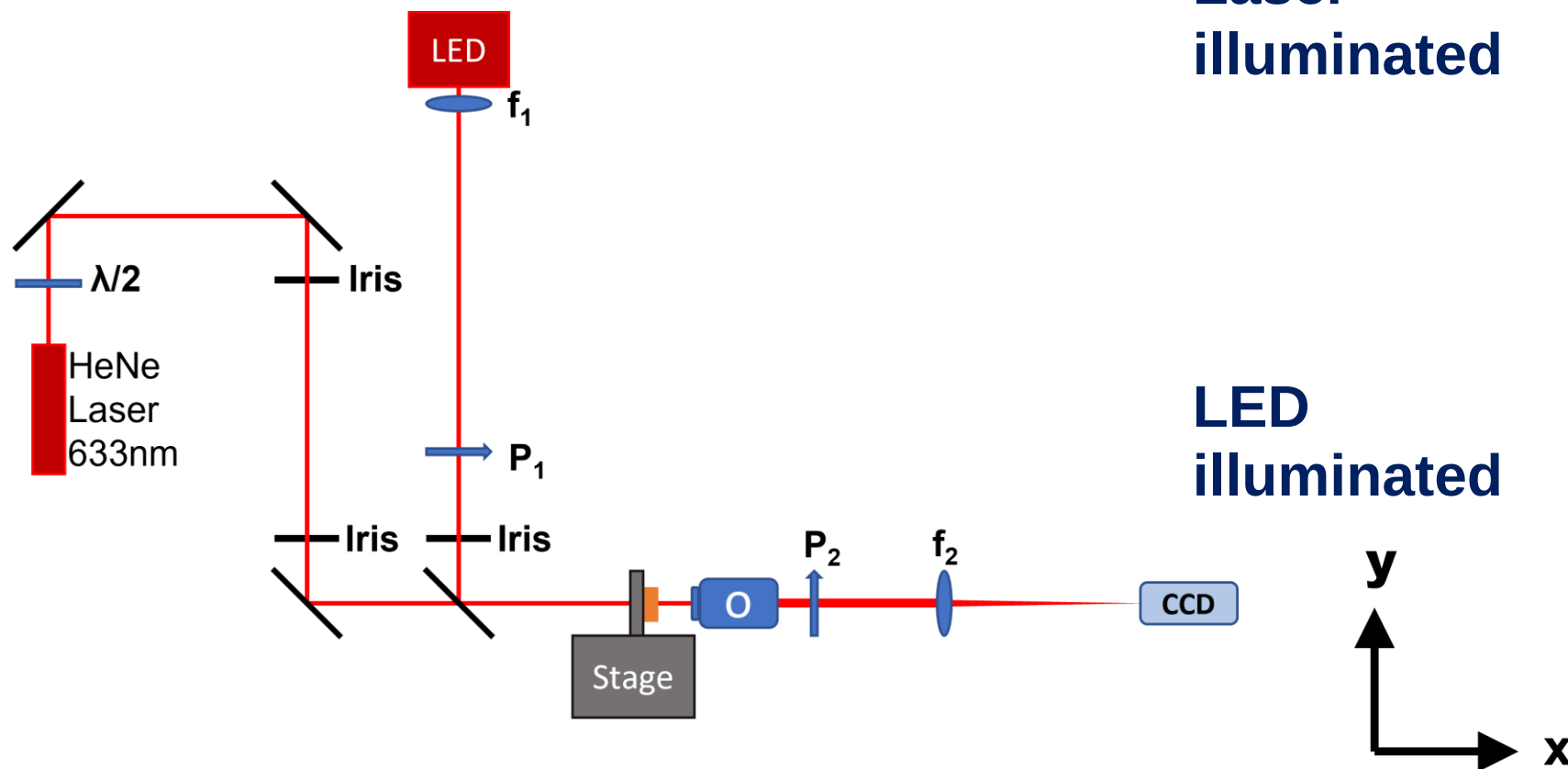
Nanoscribe samples



Experiments

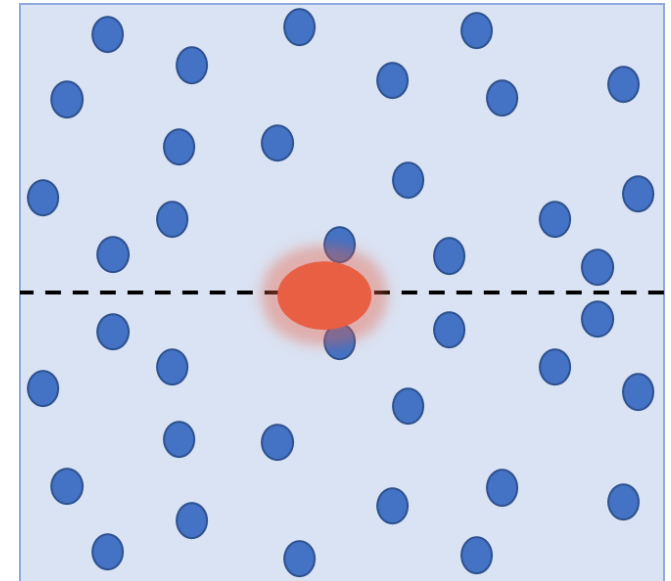
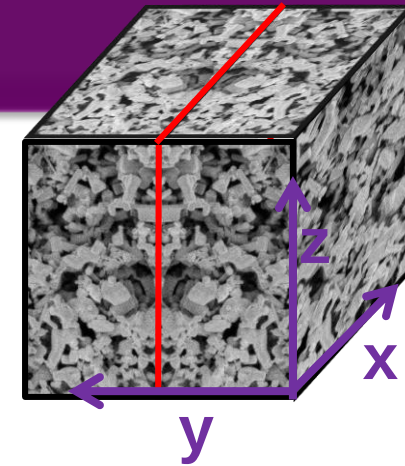


Optical Setup

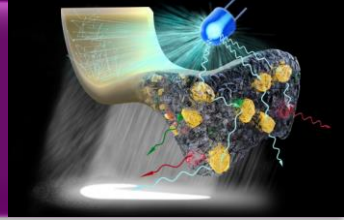


Applications?

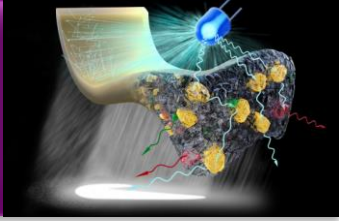
- ✓ Contrast depends on manufacturing quality.
 - Test of manufacturing quality



- ✓ Emission control of embedded emitters in the mirror symmetric medium.



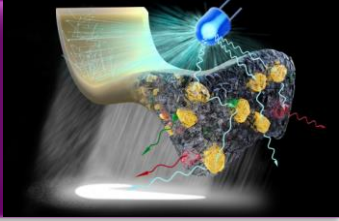
Outlook



- More measurements: LED & Laser, Polarization.
- Better analysis, compare different structures.
- Mirror in two directions.
- Making a sample by engraving in glass.
- Emission of embedded emitters in the mirror symmetric medium.
- Design and fabrication of correlated disorder systems.



Thanks to:



- Huib van Vossen
- Evangelos Marakis
- Ravi Uppu
- Gerwin Osnabrugge
- Ivo Vellekoop
- Daan Stellinga
- Ad Lagendijk

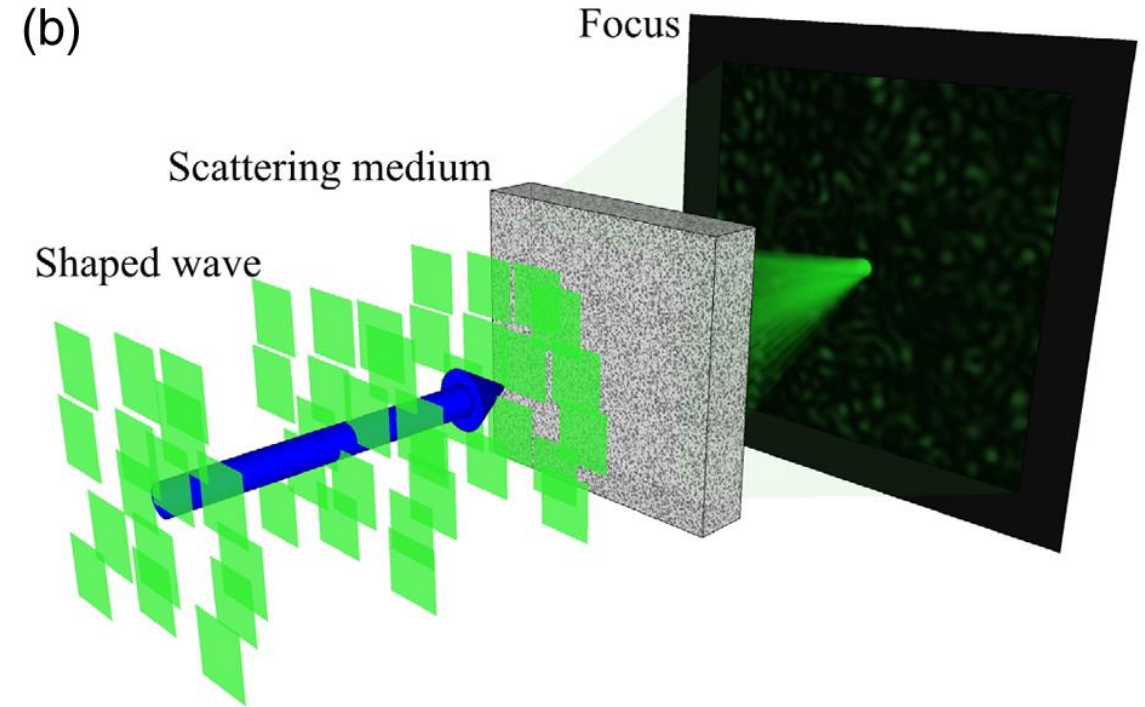
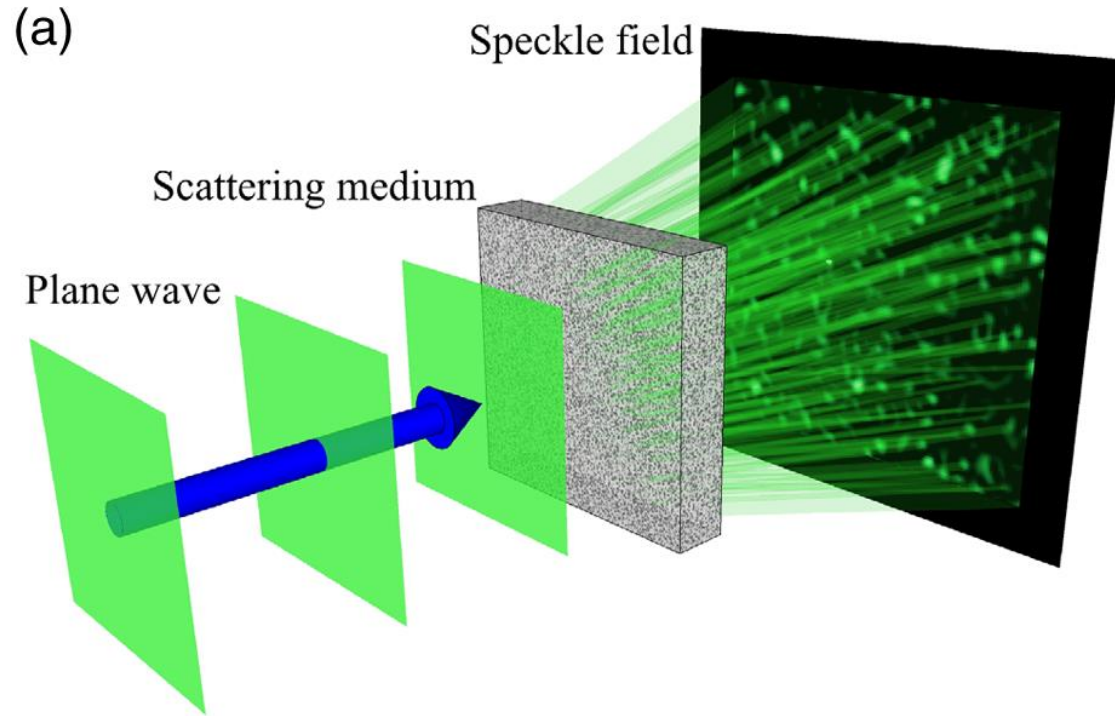
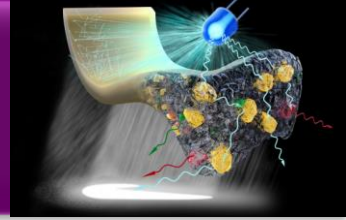


Focusing light through a free-form object

Alfredo Rates

University of Twente

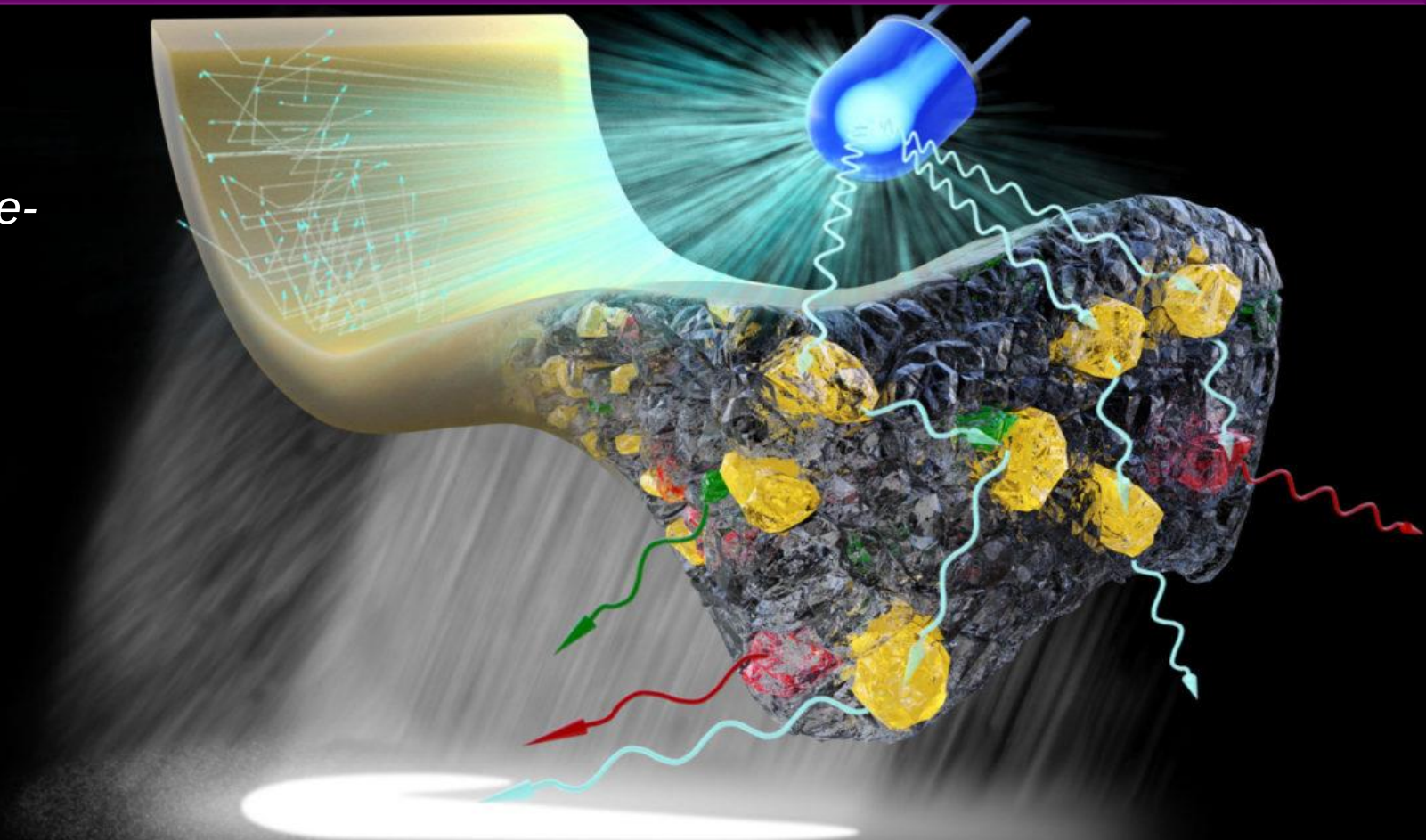
Wavefront shaping (WFS)



I. M. Vellekoop and A. P. Mosk, *Opt. Lett.* **32**, 2309–2311 (2007)
A. S. Hemphill, J. W. Tay, L. V. Wang, *J. of Bio. Opt.*, **21**, 121502 (2016)

Why free-form?

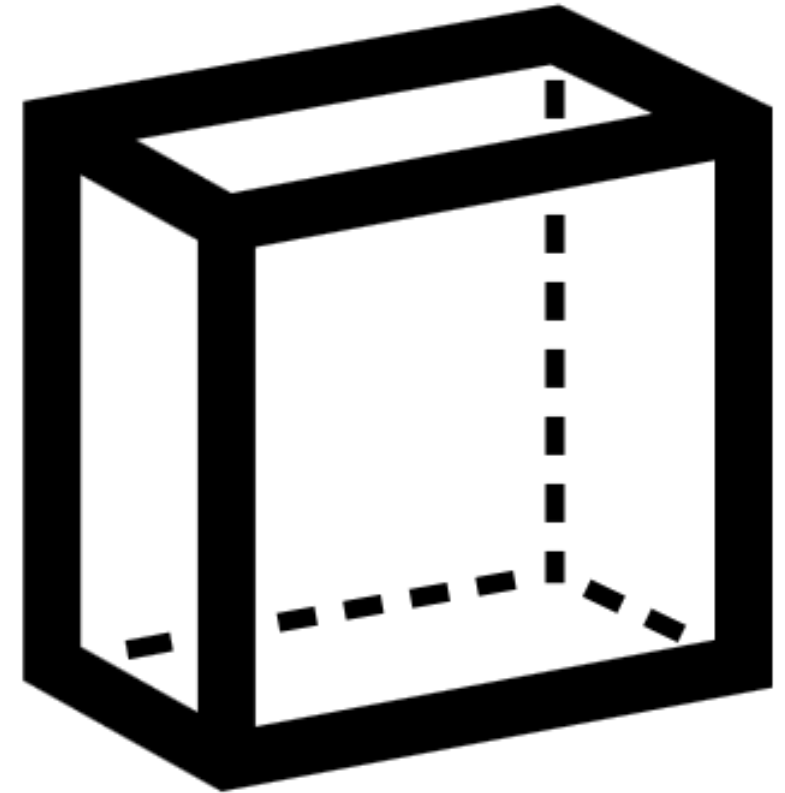
Currently, high-tech industry is developing a surge of novel devices that combine light scattering with free-formed optics (...) Due to a lack of fundamental know-how on the combination of the technologies, the devices are being designed by educated guesses, which limits progress and applications.



Bottleneck: Moving particles inside the material

- Only slab geometries are discussed
- Changing in the geometry also plays a role

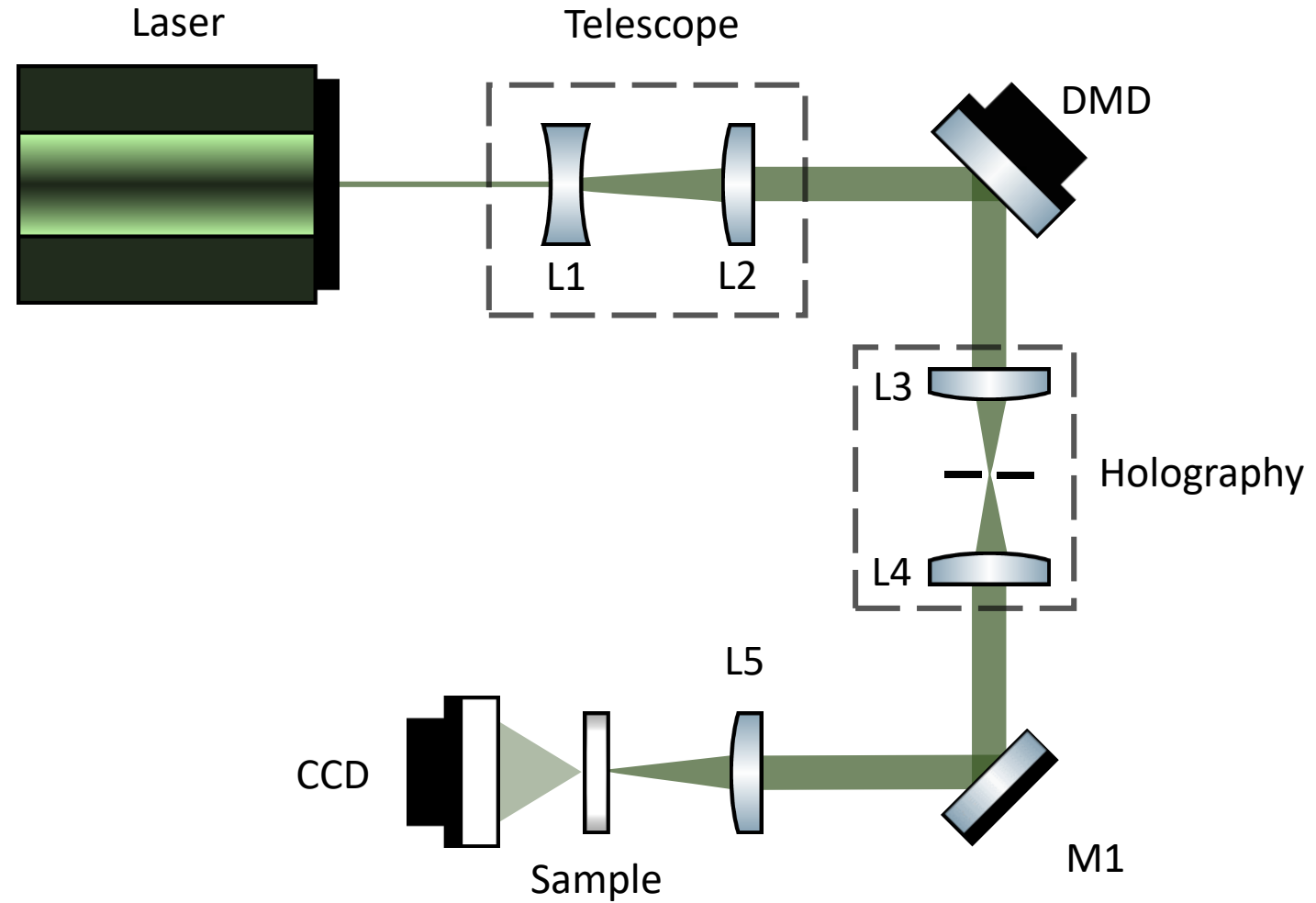
Does WFS works in a free-form material?



M. M. Qureshi, *et. al.*, *Biomed. Opt. Exp.* **8**, 4855–4864 (2017).

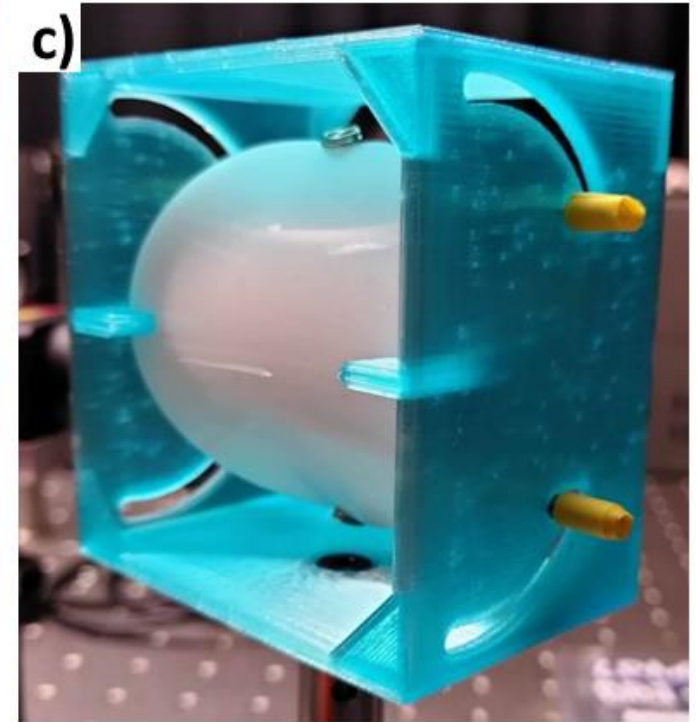
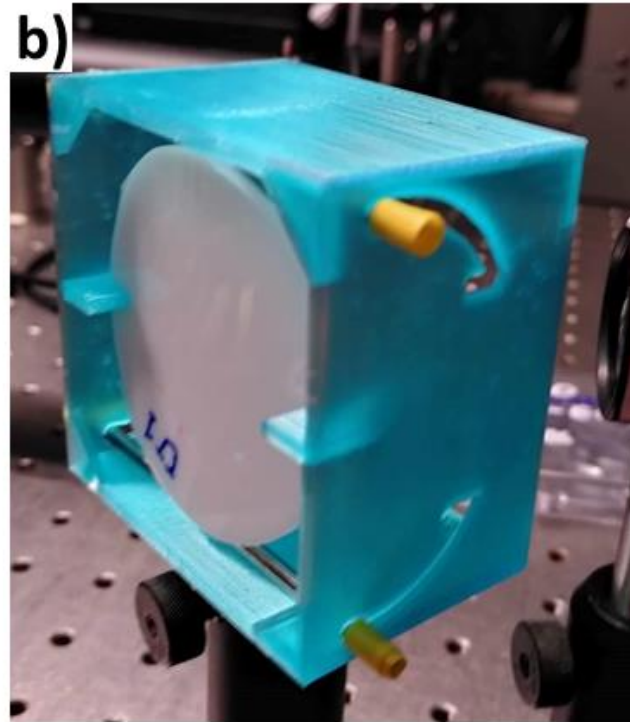
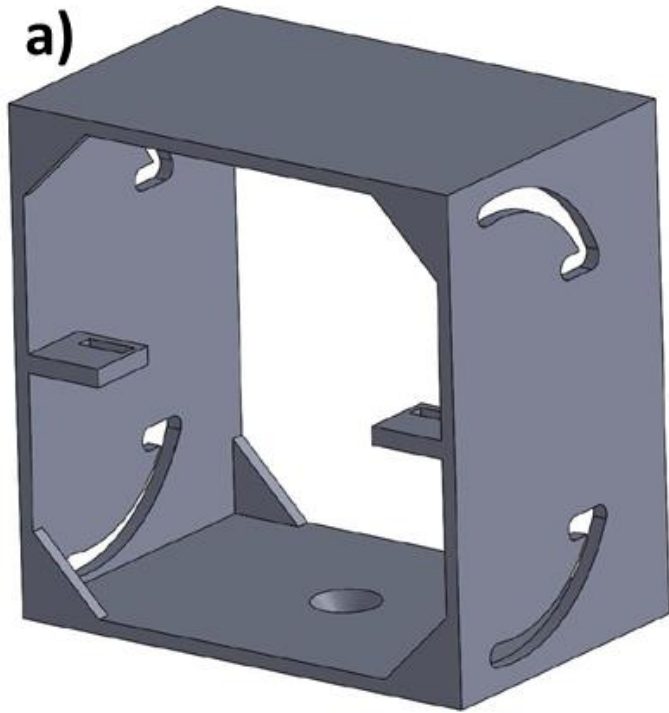
Experiment

- Digital Micro-mirror Device (DMD)
- 530 nm laser
- Lee Holography method

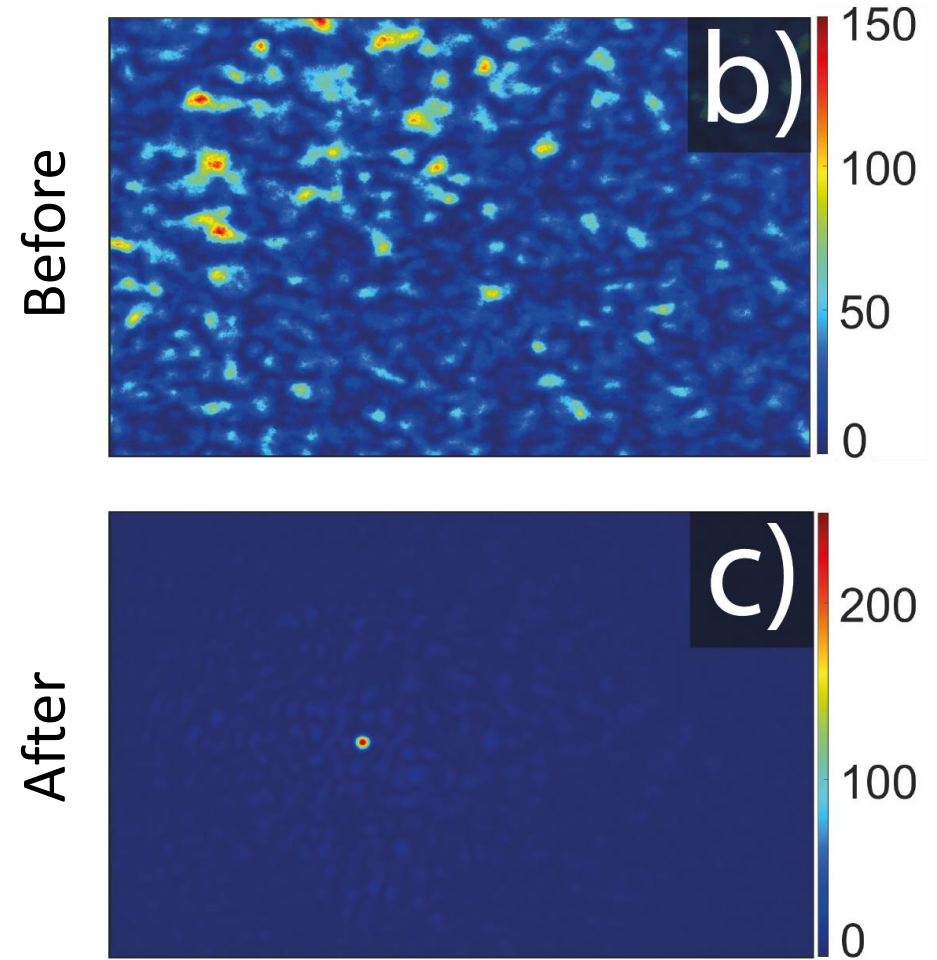
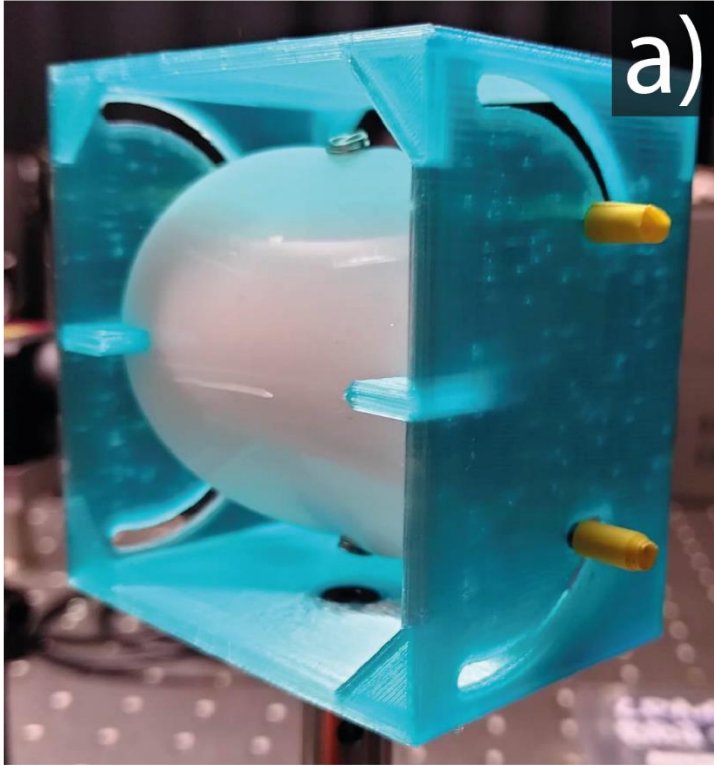


Experiment

TiO₂ particles suspended in silicone



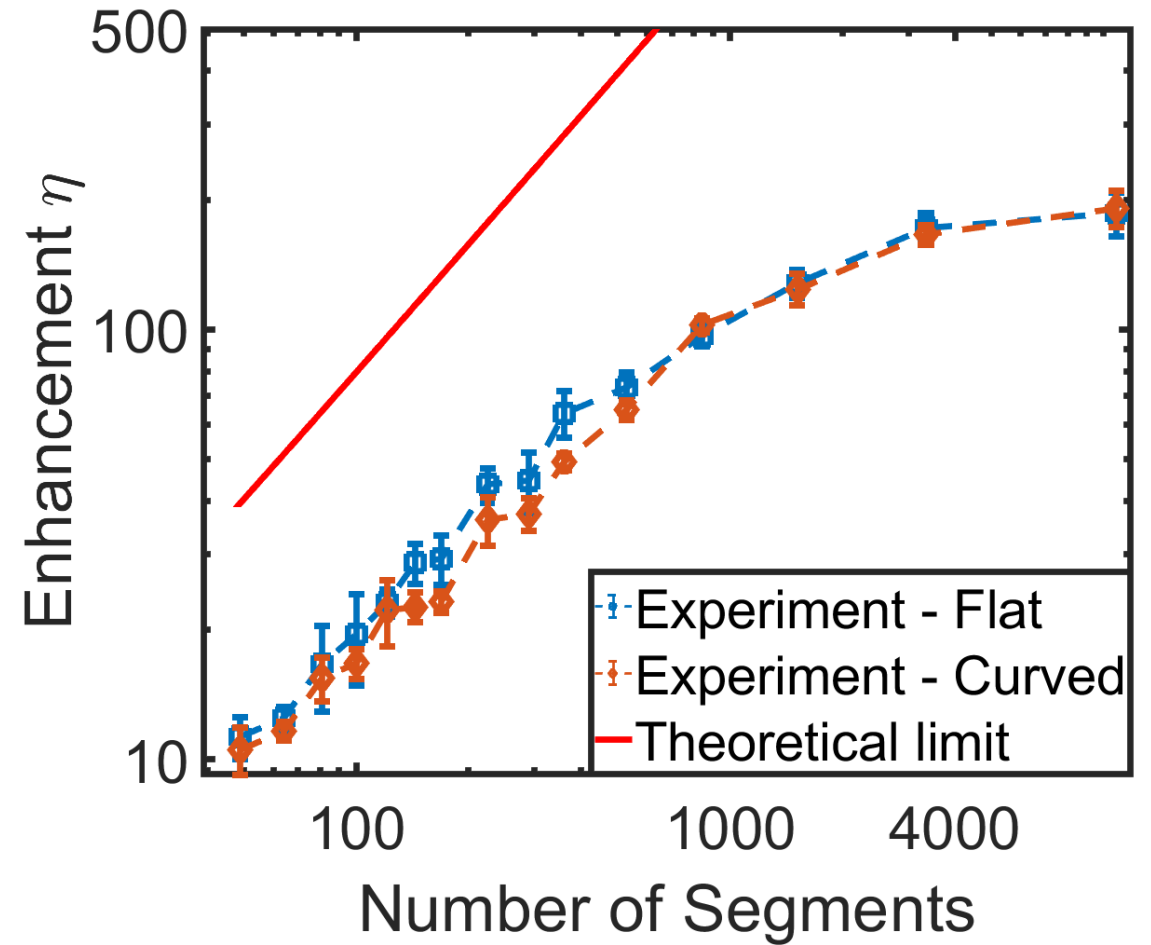
Results – Fixed number of segments



Final results

- Changing number of segments without changing area
- Enhancement:

$$\eta = \frac{I_{opt}}{\langle I_{rand} \rangle}$$



Conclusions & Outlook

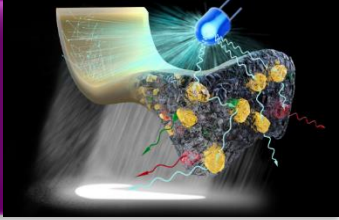
- WFS is as efficient in a free-form sample as in a flat, slab geometry
- How to quantify the effect of the curvature?
- Next steps: Realtime curving of the sample

Computational illumination optics using Liouville's equation

Robert van Gestel

Eindhoven University of Technology

Introduction



Light input



Optical system



Light output (illuminance
or luminous intensity)

Goal: compute light output efficiently

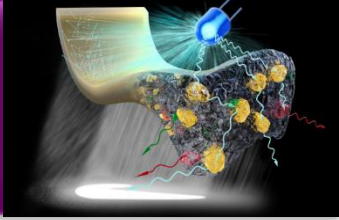
Quasi-Monte Carlo ray tracing:

- Robust
- Slow convergence

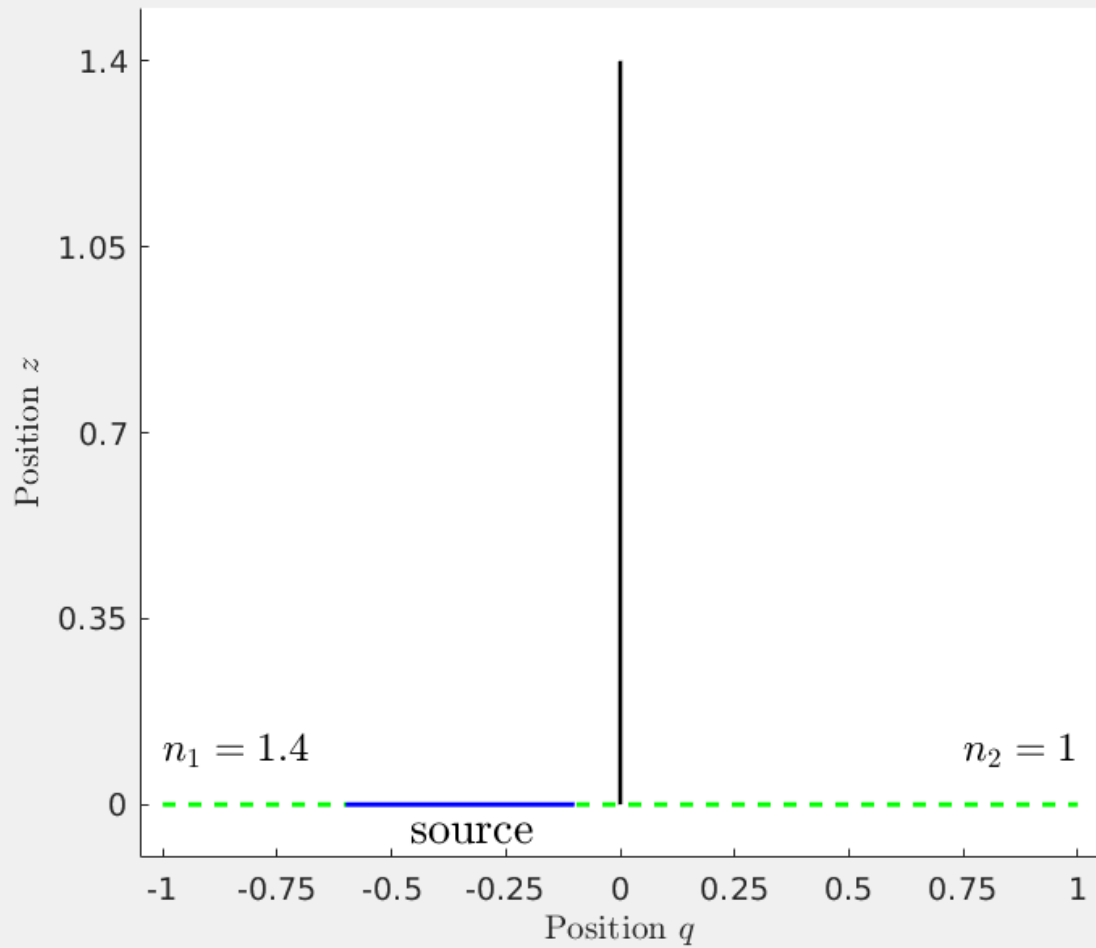
Liouville's equation based on:

- Conservation of energy and phase space volume (étendue)

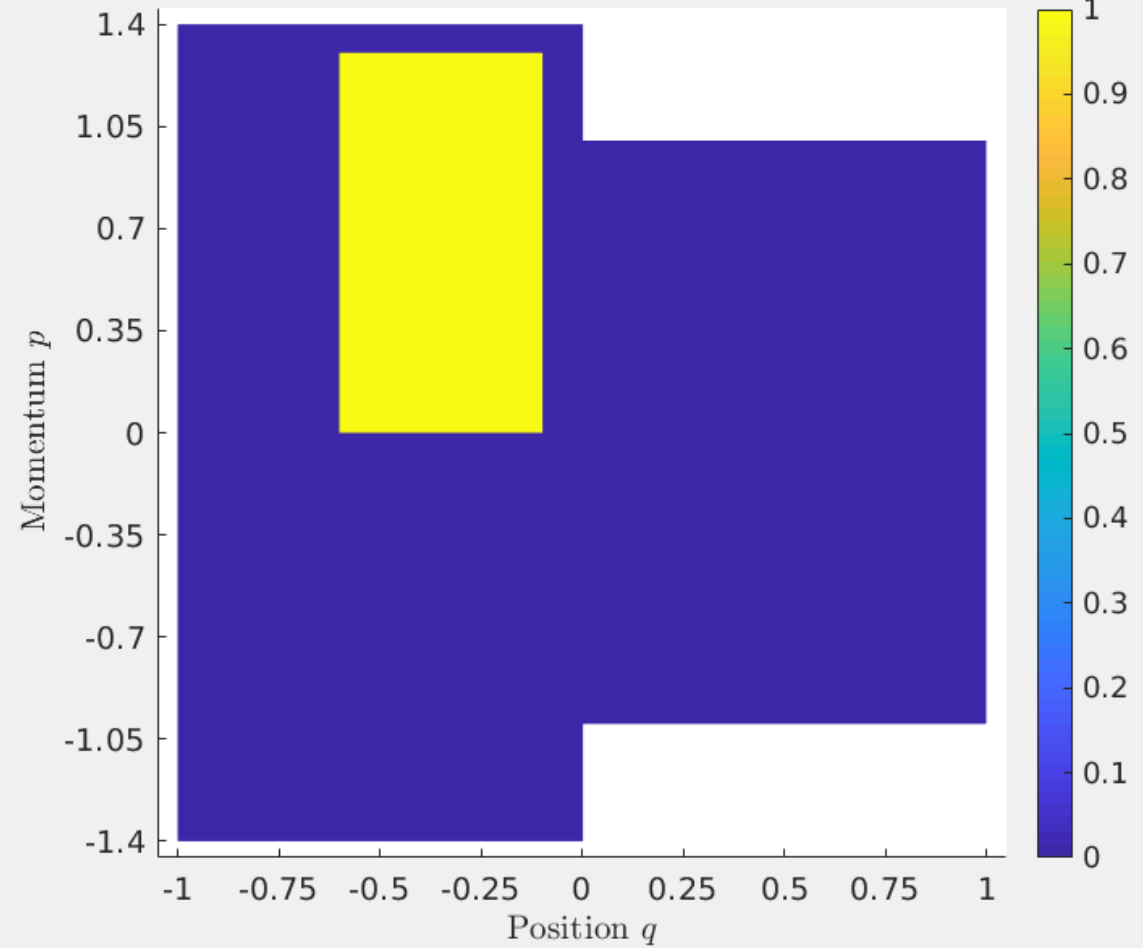
Optics in phase space



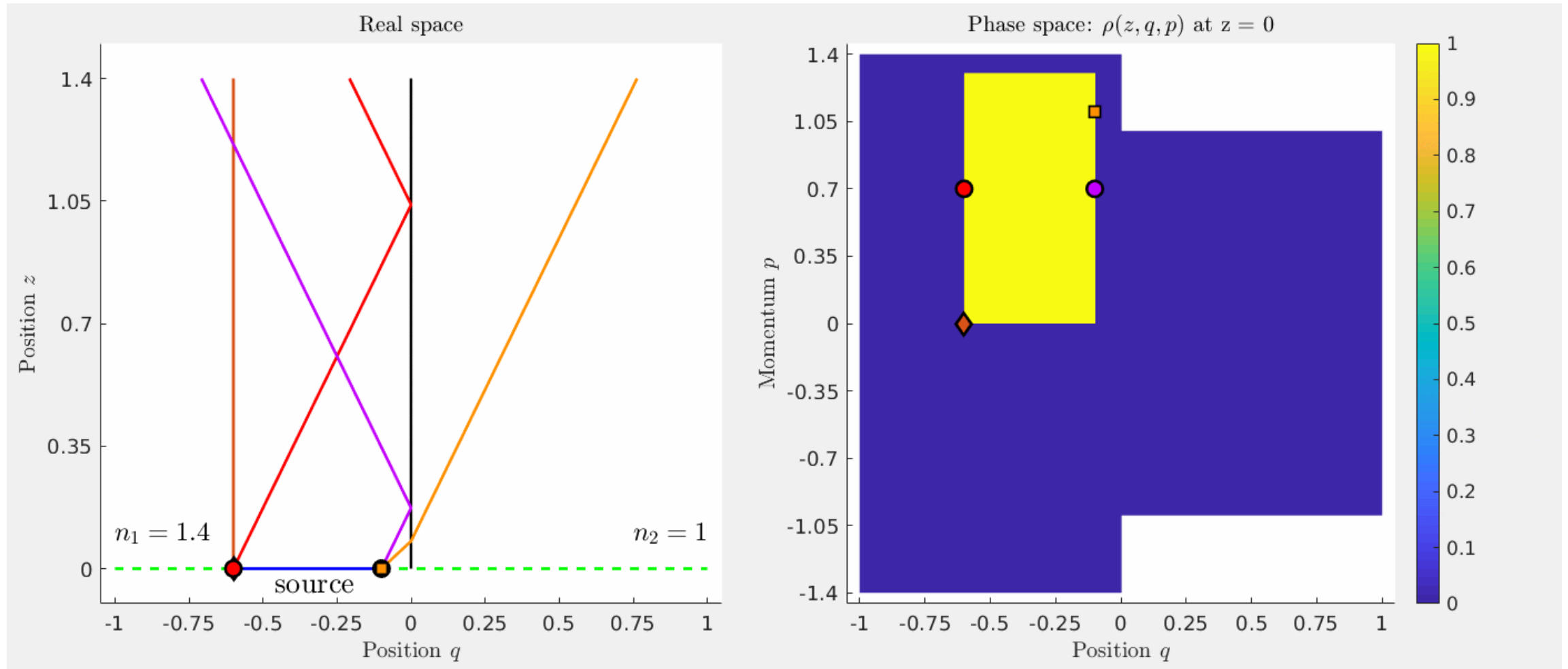
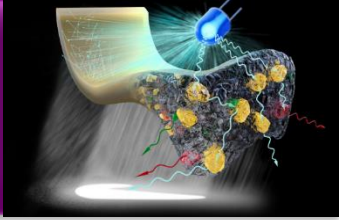
Real space



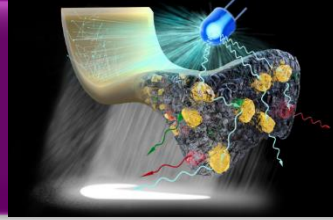
$\rho(z, q, p)$ at $z = 0$



Optics in phase space



Light rays and Liouville's equation



Transport of basic luminance ρ :

$$\frac{\partial \rho}{\partial z} + \nabla \cdot (\rho \mathbf{u}) = 0$$

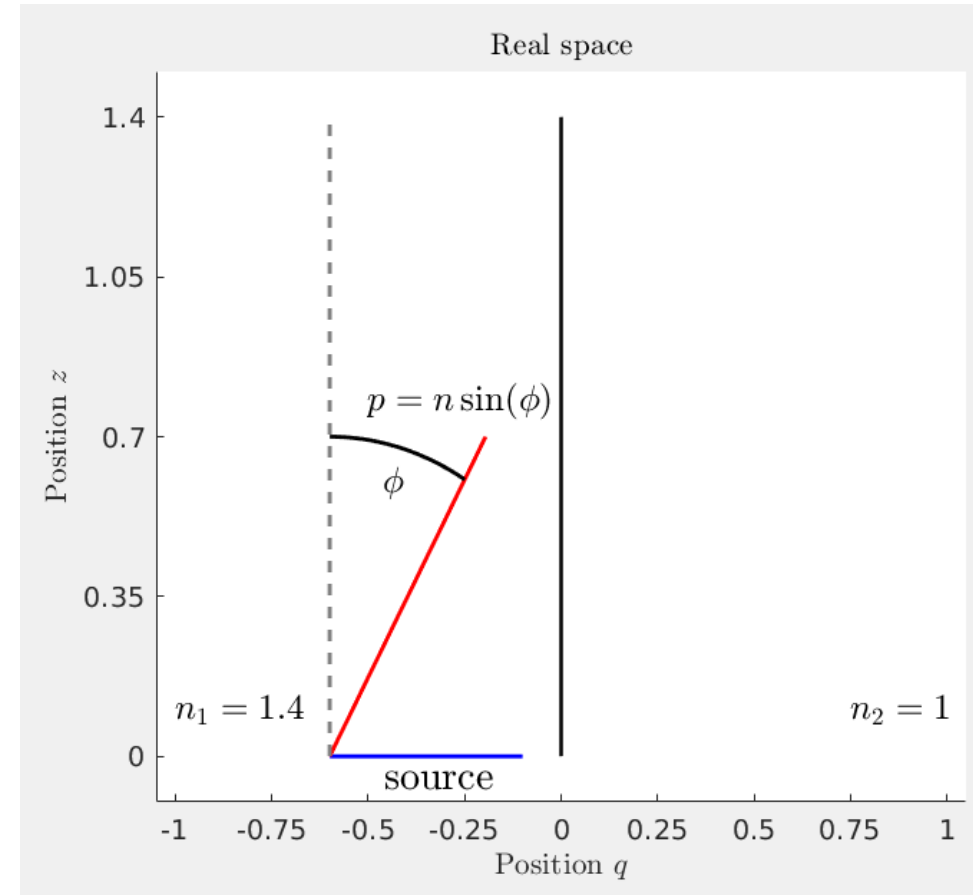
with velocity field $\mathbf{u}$:

$$\mathbf{u} = \frac{1}{\sqrt{n^2 - p^2}} \begin{pmatrix} p \\ n \frac{\partial n}{\partial q} \end{pmatrix}$$

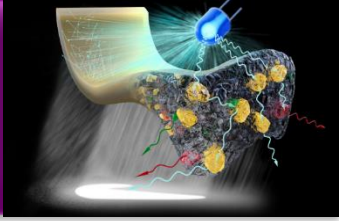
basic luminance ρ defined by:

$$\rho = \frac{\rho^*}{n},$$

with ρ^* the luminance [lm / m / rad]

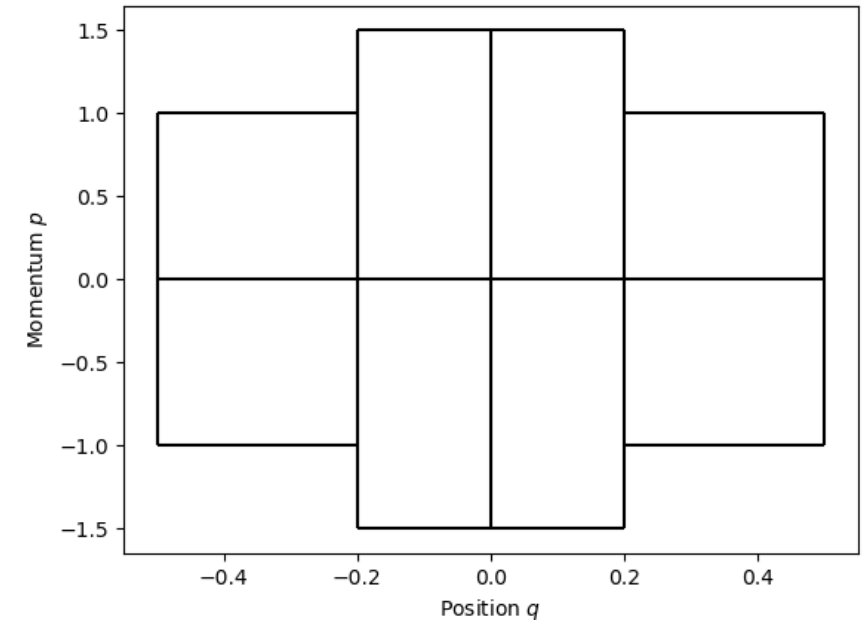


Numerical method

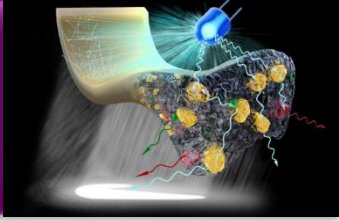


1. Divide phase space in K finite elements (rectangles)
 - moving elements: aligns elements with optical interfaces
 - adaptive mesh refinement (AMR): high mesh quality

$$\frac{\partial \rho}{\partial z} + \nabla \cdot (\rho \mathbf{u}) = 0$$



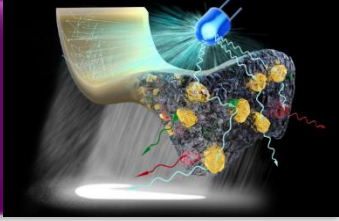
Numerical method



1. Divide phase space in K finite elements (rectangles)
 - moving elements: aligns elements with optical interfaces
 - adaptive mesh refinement (AMR): high mesh quality
2. Approximate the solution by a high degree (N) polynomial:
discontinuous Galerkin (DG)
3. Explicit one-step high order integration using Arbitrary Derivative (ADER)

$$\frac{\partial \rho}{\partial z} + \nabla \cdot (\rho \mathbf{u}) = 0$$

Numerical method

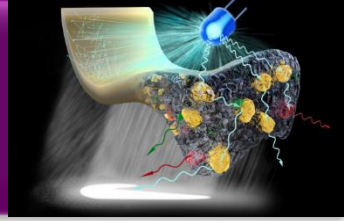


1. Divide phase space in K finite elements (rectangles)
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4. Optical interface: corrections to non-local boundary conditions to ensure energy conservation ¹

$$\frac{\partial \rho}{\partial z} + \nabla \cdot (\rho \mathbf{u}) = 0$$

1: R.A.M. van Gestel, M.J.H. Anthonissen, J.H.M. ten Thije Boonkkamp, W.L. IJzerman, "An Energy Conservative hp-method for Liouville's Equation of Geometrical Optics" *Journal of Scientific Computing* 89.1 (2021)

Numerical method



1. Divide phase space in K finite elements (rectangles)
 - moving elements: aligns elements with optical interfaces
 - adaptive mesh refinement (AMR): high mesh quality
2. Approximate the solution by a high degree N polynomial: discontinuous Galerkin (DG)
3. Explicit one-step high order integration using Arbitrary Derivative (ADER)
4. Optical interface: corrections to non-local boundary conditions to ensure energy conservation¹

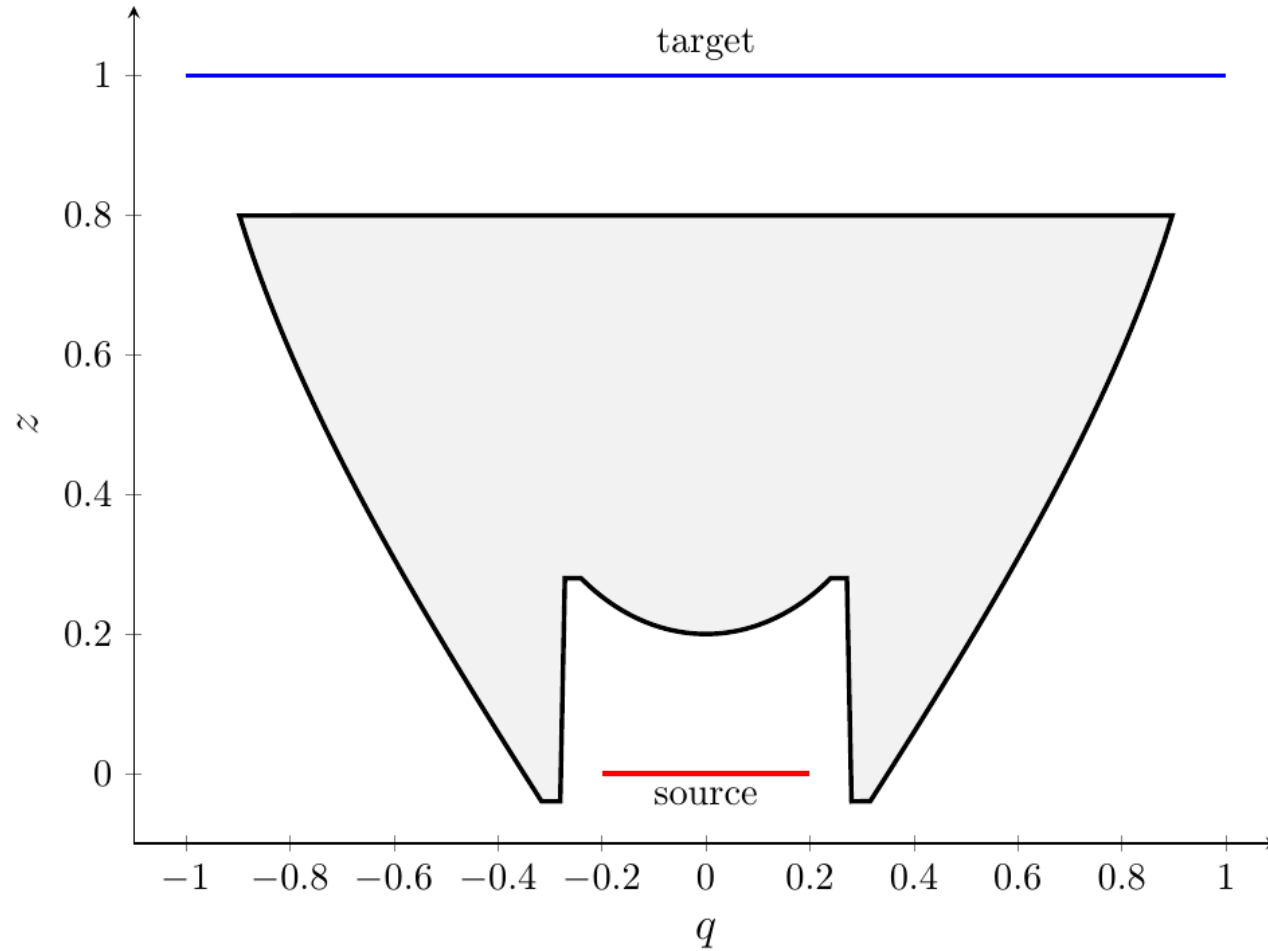
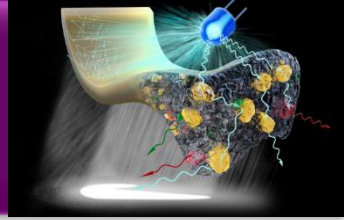
$$\frac{\partial \rho}{\partial z} + \nabla \cdot (\rho \mathbf{u}) = 0$$

Decrease error by increasing:

- K number of elements
- N degree of polynomial

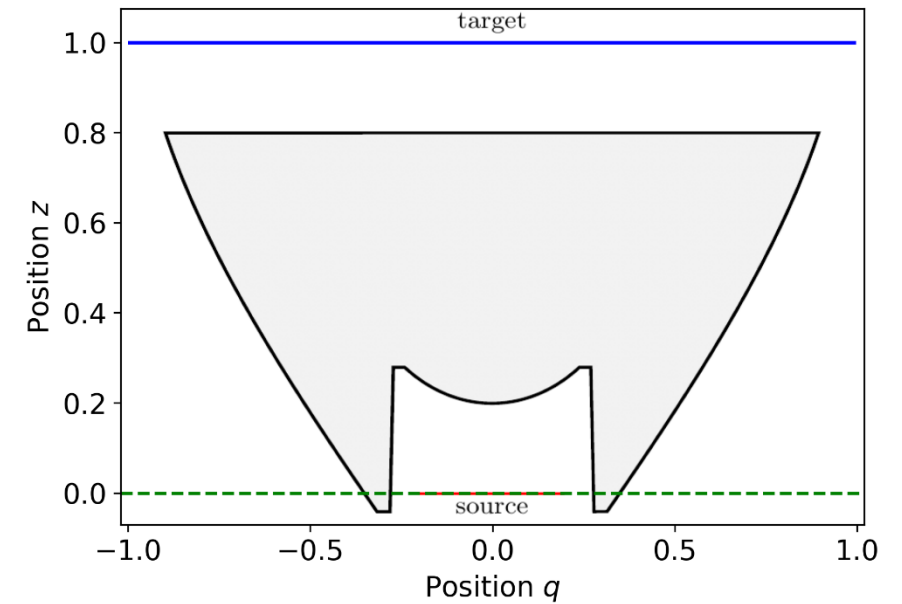
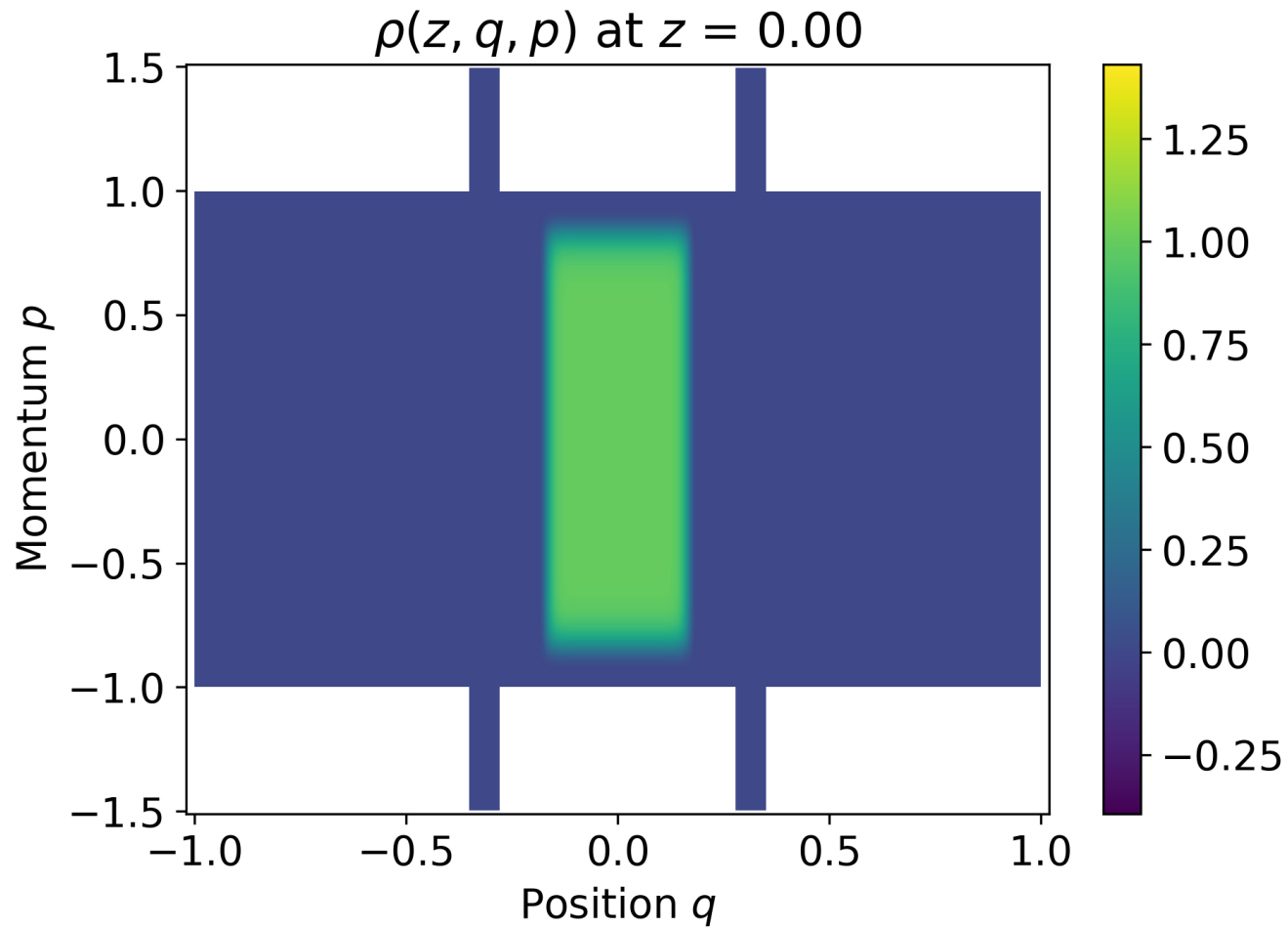
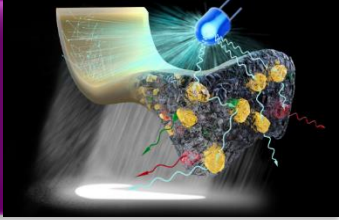
1: R.A.M. van Gestel, M.J.H. Anthonissen, J.H.M. ten Thije Boonkkamp, W.L. IJzerman, "An Energy Conservative hp-method for Liouville's Equation of Geometrical Optics" *Journal of Scientific Computing* 89.1 (2021)

TIR-collimator

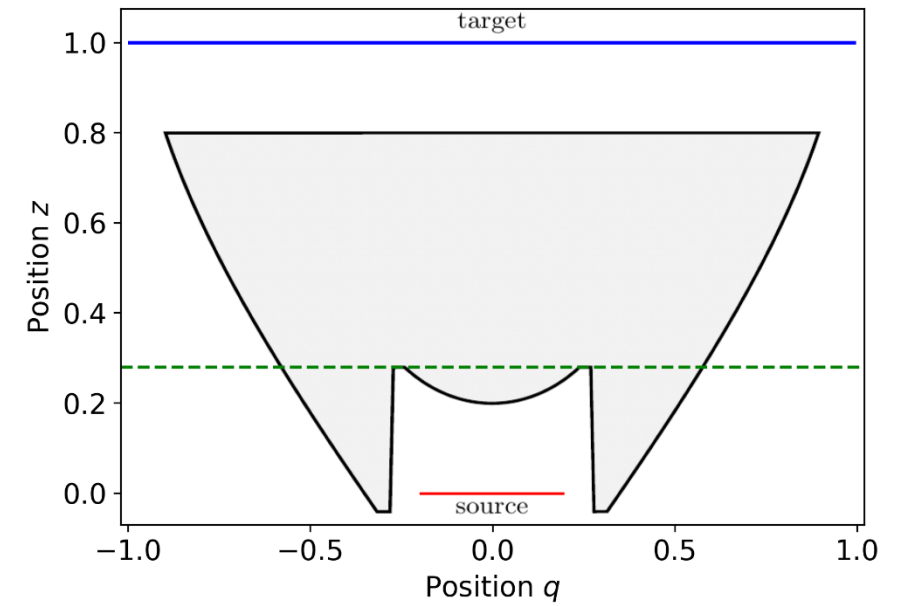
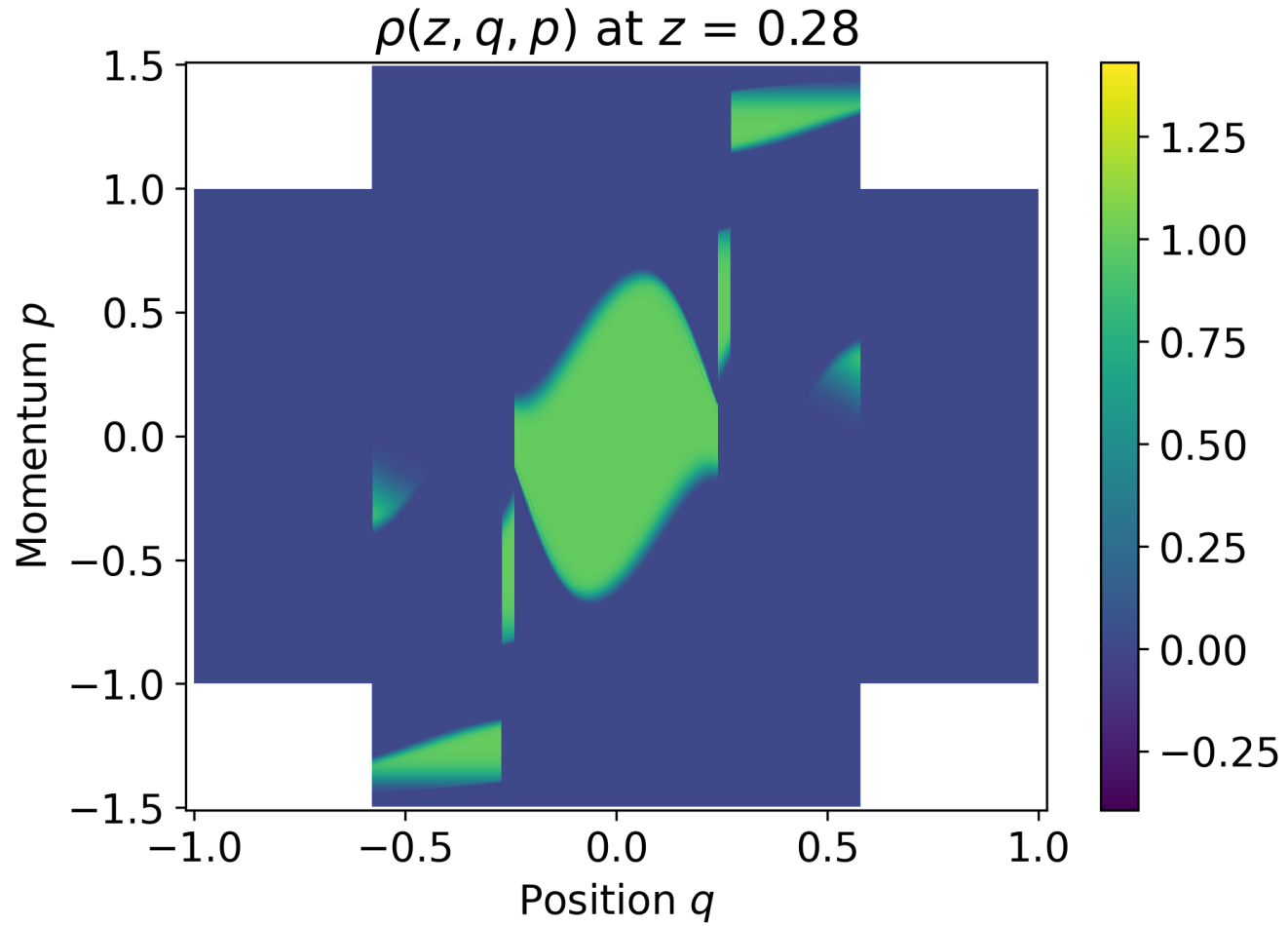
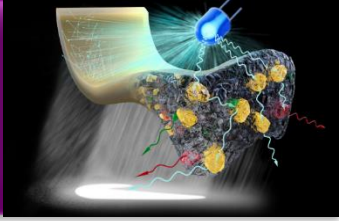


White region $n = 1$
Gray region $n = 1.5$

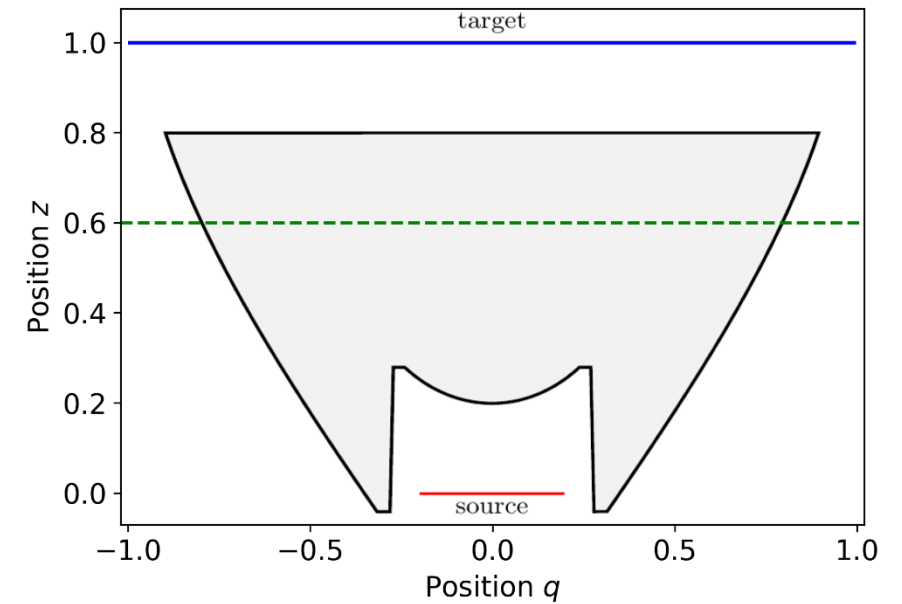
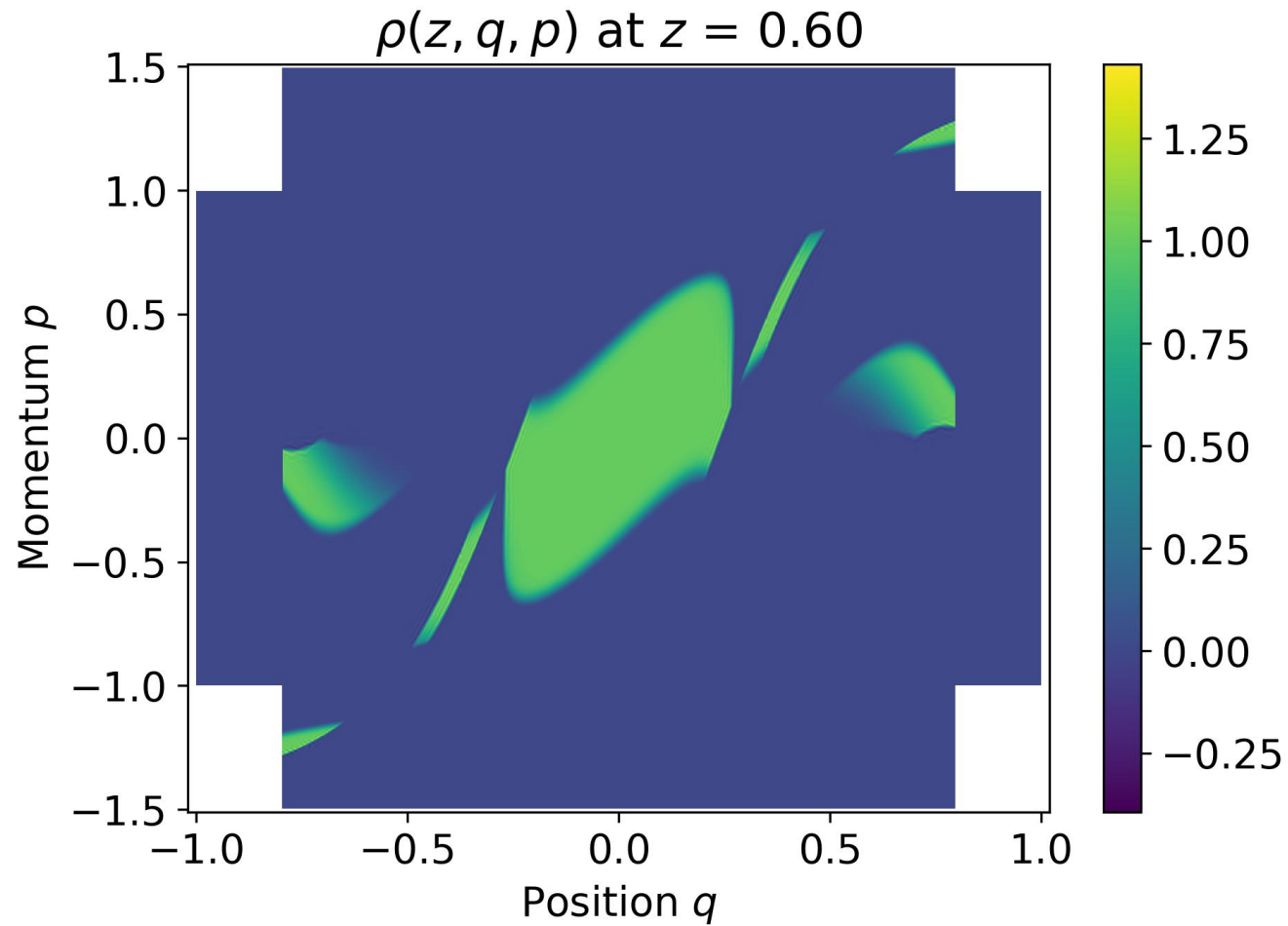
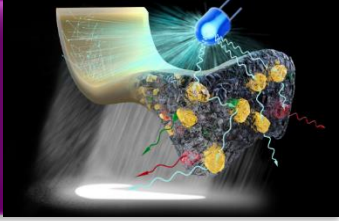
TIR-collimator: source



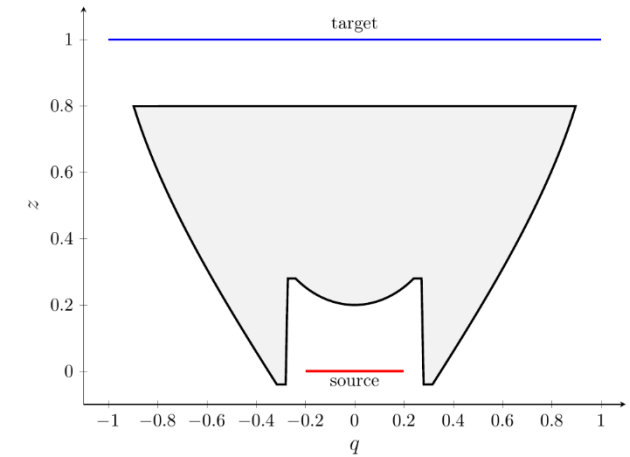
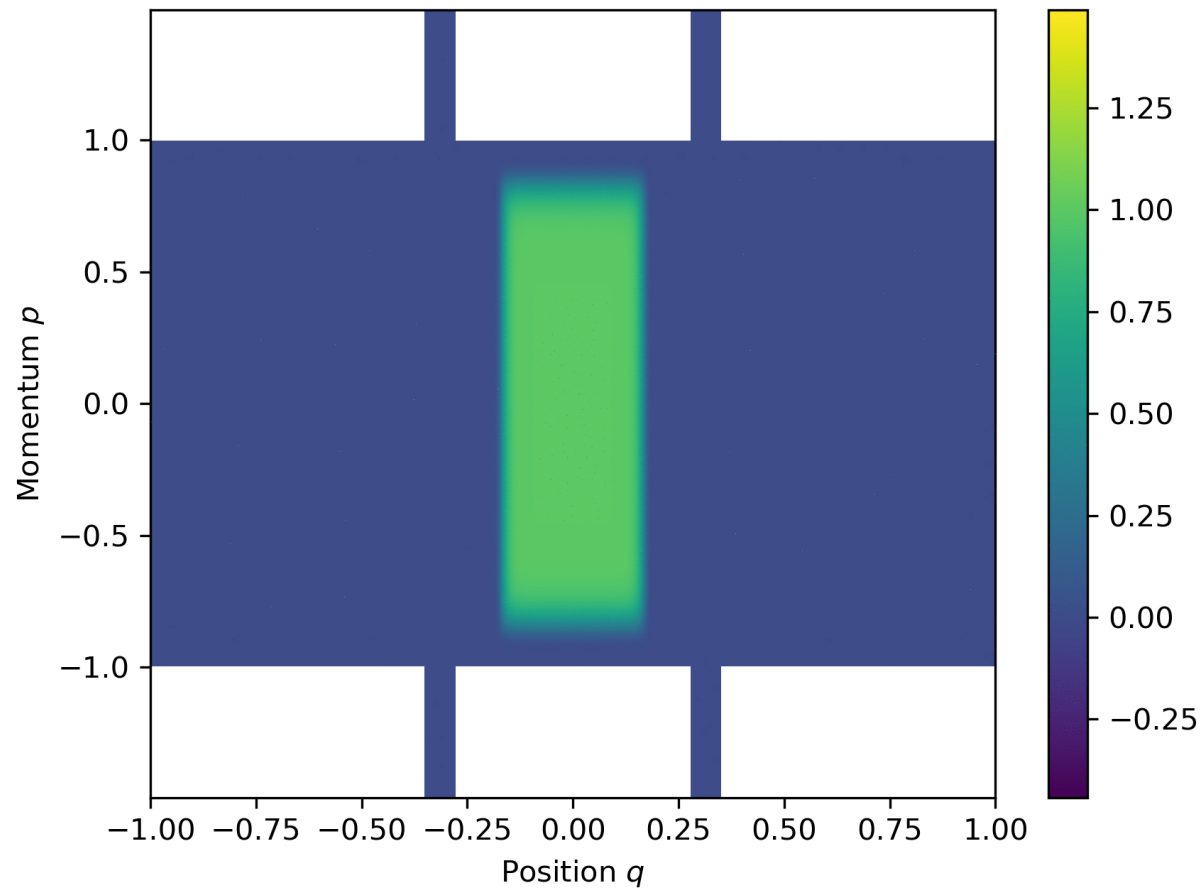
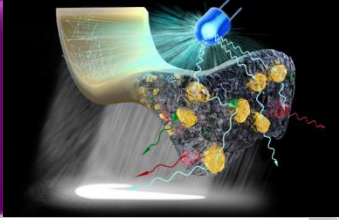
TIR-collimator: result



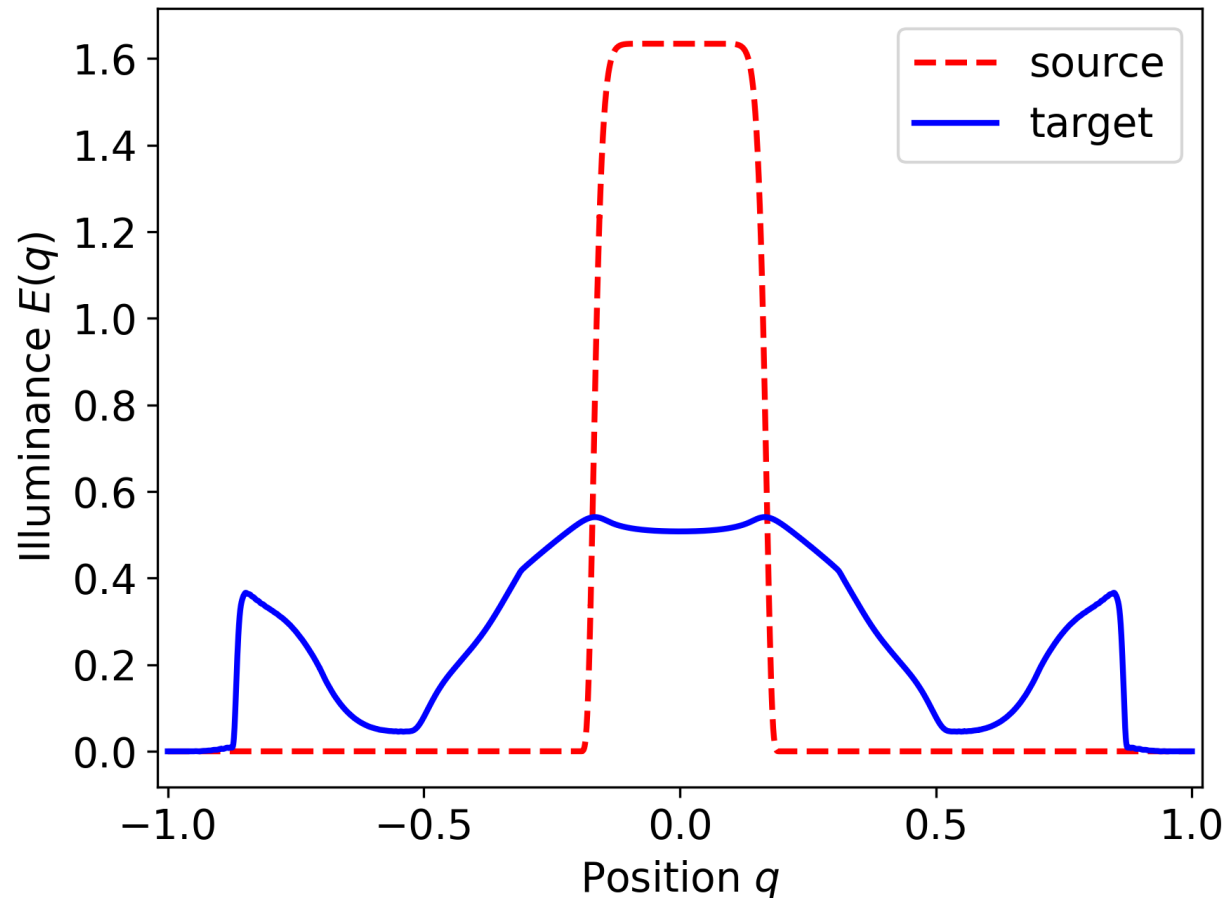
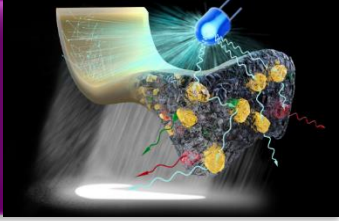
TIR-collimator: result



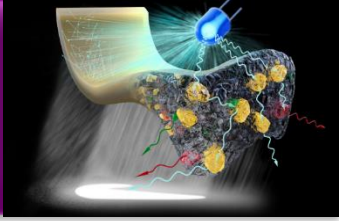
TIR-collimator: result



TIR-collimator: illuminance



Conclusions & Outlook

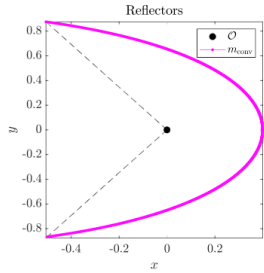
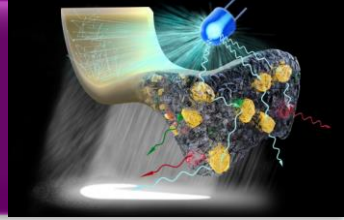


- Liouville's equation of geometrical optics
- Able to solve complex optical systems:
 - high order efficient solution method
 - obeys energy conservation
- Result for a TIR-collimator

Outlook:

- Add Fresnel reflections
- Extend method to 3D optics (4D phase space)

Deliverables

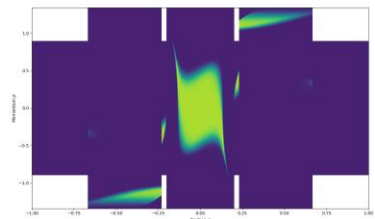
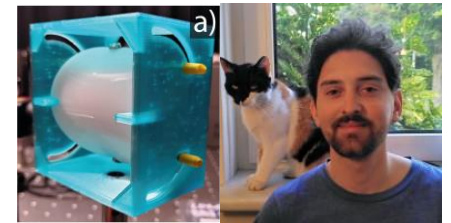
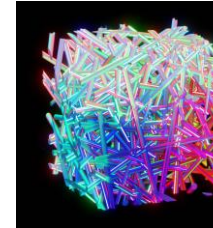


C1 Freeform optics with scattering

- Rotationally symmetric design strategy
- Non-rotationally symmetric

C2 Scattering with freeforms

- Analytic model of scattering in presence of freeform effects
- Scattering and design rules



C3 Homogeneous illumination

- Extension of active flux scheme to 4D phase space
- Design rules for non-extruded light guides